

This Page Is Inserted by IFW Operations  
and is not a part of the Official Record

## **BEST AVAILABLE IMAGES**

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images may include (but are not limited to):

- BLACK BORDERS
- TEXT CUT OFF AT TOP, BOTTOM OR SIDES
- FADED TEXT
- ILLEGIBLE TEXT
- SKEWED/SLANTED IMAGES
- COLORED PHOTOS
- BLACK OR VERY BLACK AND WHITE DARK PHOTOS
- GRAY SCALE DOCUMENTS

**IMAGES ARE BEST AVAILABLE COPY.**

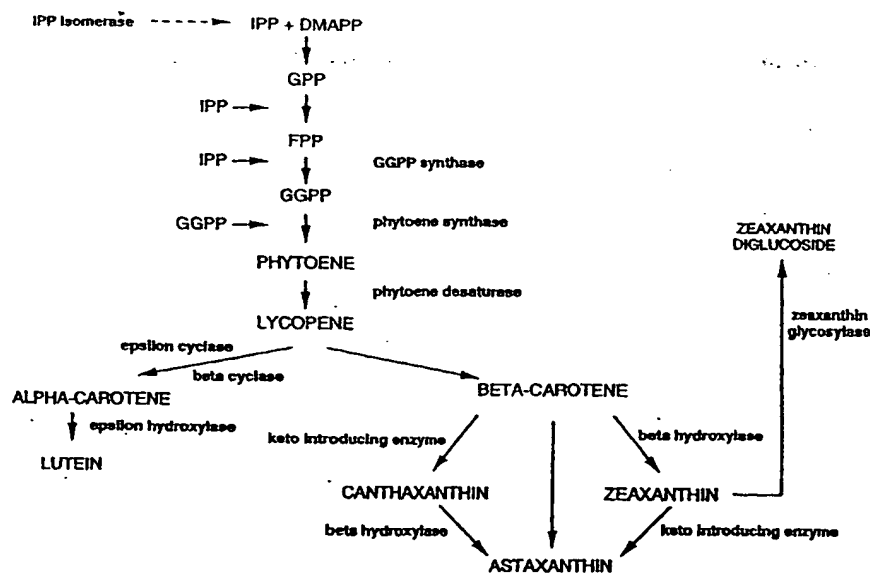
**As rescanning documents *will not* correct images,  
please do not report the images to the  
Image Problem Mailbox.**



## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification <sup>6</sup> : C12N 15/82, 15/53, 9/00, 9/02, A01H 5/00	A1	(11) International Publication Number: <b>WO 99/07867</b> (43) International Publication Date: 18 February 1999 (18.02.99)
(21) International Application Number: PCT/US98/16466 (22) International Filing Date: 6 August 1998 (06.08.98) (30) Priority Data: 08/908,758 8 August 1997 (08.08.97) US (71) Applicant: CALGENE LLC [US/US]; 1920 Fifth Street, Davis, CA 95616 (US). (72) Inventor: SHEWMAKER, Christine, K.; 1405 Springcreek, Woodland, CA 95695 (US). (74) Agent: SCHWEDLER, Carl, J.; Calgene LLC, 1920 Fifth Street, Davis, CA 95616 (US).	(81) Designated States: AU, CA, CN, JP, European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE).  Published <i>With international search report. Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments.</i>	

(54) Title: METHODS FOR PRODUCING CAROTENOID COMPOUNDS, AND SPECIALITY OILS IN PLANT SEEDS



## (57) Abstract

Methods are provided for producing plants and seeds having altered carotenoid compositions by transforming host plants with constructs having a transcriptional initiation region from a gene expressed in a plant seed, a plastid transit peptide, a DNA sequence derived from at least one carotenoid biosynthesis gene coding region, and a transcriptional termination region. The methods find particular use in increasing the carotenoid content in oilseed plants.

*FOR THE PURPOSES OF INFORMATION ONLY*

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AL	Albania	ES	Spain	LS	Lesotho	SI	Slovenia
AM	Armenia	FI	Finland	LT	Lithuania	SK	Slovakia
AT	Austria	FR	France	LU	Luxembourg	SN	Senegal
AU	Australia	GA	Gabon	LV	Latvia	SZ	Swaziland
AZ	Azerbaijan	GB	United Kingdom	MC	Monaco	TD	Chad
BA	Bosnia and Herzegovina	GE	Georgia	MD	Republic of Moldova	TG	Togo
BB	Barbados	GH	Ghana	MG	Madagascar	TJ	Tajikistan
BE	Belgium	GN	Guinea	MK	The former Yugoslav Republic of Macedonia	TM	Turkmenistan
BF	Burkina Faso	GR	Greece	ML	Mali	TR	Turkey
BG	Bulgaria	HU	Hungary	MN	Mongolia	TT	Trinidad and Tobago
BJ	Benin	IE	Ireland	MR	Mauritania	UA	Ukraine
BR	Brazil	IL	Israel	MW	Malawi	UG	Uganda
BY	Belarus	IS	Iceland	MX	Mexico	US	United States of America
CA	Canada	IT	Italy	NE	Niger	UZ	Uzbekistan
CF	Central African Republic	JP	Japan	NL	Netherlands	VN	Viet Nam
CG	Congo	KE	Kenya	NO	Norway	YU	Yugoslavia
CH	Switzerland	KG	Kyrgyzstan	NZ	New Zealand	ZW	Zimbabwe
CI	Côte d'Ivoire	KP	Democratic People's Republic of Korea	PL	Poland		
CM	Cameroon	KR	Republic of Korea	PT	Portugal		
CN	China	KZ	Kazakhstan	RO	Romania		
CU	Cuba	LC	Saint Lucia	RU	Russian Federation		
CZ	Czech Republic	LI	Liechtenstein	SD	Sudan		
DE	Germany	LK	Sri Lanka	SE	Sweden		
DK	Denmark	LR	Liberia	SG	Singapore		
EE	Estonia						

**METHODS FOR PRODUCING CAROTENOID COMPOUNDS, AND  
SPECIALITY OILS IN PLANT SEEDS**

5

This application is a continuation-in-part of Application Serial No.08/908,758 filed August 8, 1997 which is a continuation-in-part of Application Serial No.60/024,145 filed August 9, 1996.

10

**FIELD OF THE INVENTION**

The invention relates to genetic modification of plants, plant cells and seeds, particularly altering carotenoid biosynthesis, and fatty acid composition.

**BACKGROUND OF THE INVENTION**

15

Carotenoids are pigments with a variety of applications. They are yellow-orange-red lipids which are present in green plants, some molds, yeast and bacteria. Carotenoid hydrocarbons are referred to as carotenes, whereas oxygenated derivatives are referred to as xanthophylls. The carotenoids are part of the larger isoprenoid biosynthesis pathway which, in addition to carotenoids, produces such compounds as chlorophyll and tocopherols, Vitamin E active agents. The carotenoid pathway in plants produces carotenes, such as  $\alpha$ - and  $\beta$ -carotene, and lycopene, and xanthophylls, such as lutein.

20

The biosynthesis of carotenoids involves the condensation of two molecules of the  $C_{20}$  precursor geranyl  $PP_i$  to yield the first  $C_{40}$  hydrocarbon phytoene. In a series of sequential desaturations, phytoene yields lycopene. Lycopene is the precursor of the cyclic carotenes,  $\beta$ -carotene and  $\alpha$ -carotene. The xanthophylls, zeaxanthin and lutein are formed by hydroxylation of  $\beta$ -carotene and  $\alpha$ -carotene, respectively.

25

5  $\beta$ -carotene, a carotene whose color is in the spectrum ranging from yellow to orange, is present in a large amount in the roots of carrots and in green leaves of plants.  $\beta$ -carotene is useful as a coloring material and also as a precursor of vitamin A in mammals. Current methods for commercial production of  $\beta$ -carotene include isolation from carrots, chemical synthesis, and microbial production.

10 A number of crop plants and a single oilseed crop are known to have substantial levels of carotenoids, and consumption of such natural sources of carotenoids have been indicated as providing various beneficial health effects. The below table provides levels of carotenoids that have been reported for various plant species.

CAROTENOID CONTENTS OF VARIOUS CROPS  
( $\mu\text{g/g}$ )

15	Crop	Beta-Carotene	Alpha-Carotene	Lycopene	Lutein	Total
	Carrots	30-110	10-40	0-0.5	0-2	65-120
	Pepper (gr)	2	-	-	2	8
	Pepper (red)	15	1	-	-	200
	Pumpkin	16	0.3	tr	26	100
20	Tomato	3-6	-	85	-	98
	Watermelon	1	tr	19	-	25
	Marigold petals	5	4	-	1350	1500
	Red palm oil	256	201	8	-	545

25 The pathway for biosynthesis of the carotenoids has been studied in a variety of organisms and the biosynthetic pathway has been elucidated in organisms ranging from bacteria to higher plants. See, for example, Britton, G. (1988) *Biosynthesis of carotenoids*, p. 133-182, In T.W. Goodwin (ed.), *Plant pigments*, 1988. Academic Press, Inc. (London), Ltd., London. Carotenoid biosynthesis genes have also been

30 cloned from a variety of organisms including *Erwinia uredovora* (Misawa *et al.* (1990) *J. Bacteriol.* 172:6704-6712; *Erwinia herbicola* (Application WO 91/13078,

- Armstrong *et al.* (1990) *Proc. Natl. Acad. Sci., USA* 87:9975-9979); *R. capsulatus* (Armstrong *et al.* (1989) *Mol. Gen. Genet.* 216:254-268, Romer *et al.* (1993) *Biochem. Biophys. Res. Commun.* 196:1414-1421); *Thermus thermophilus* (Hoshino *et al.* (1993) *Appl. Environ. Microbiol.* 59:3150-3153); the cyanobacterium
- 5 *Synechococcus sp.* (Genbank accession number X63873). See also, application WO 96/13149 and the references cited therein.

While the genes have been elucidated, little is known about the use of the genes in plants. Investigations have shown that over expression or inhibition of expression of the plant phytoene synthase (Psy1) gene in transgenic plants can alter

10 carotenoid levels in fruits. See, Bird *et al.* (1991) *Biotechnology* 9:635-639; Bramley *et al.* (1992) *Plant J.* 2:343-349; and Fray and Grierson (1993) *Plant Mol. Biol.* 22:589-602. Further, as reported by Fray *et al.* (1995) *The Plant Journal* 8:693-701, constitutive expression of a fruit phytoene synthase gene in transgenic tomatoes causes dwarfism by redirecting metabolites from the gibberellin pathway.

- 15 Application WO 96/13149 reports on enhancing carotenoid accumulation in storage organs such as tubers and roots of genetically engineered plants. The application is directed towards enhancing colored native carotenoid production in specific, predetermined non-photosynthetic storage organs. The examples of the application are drawn to increasing colored carotenoids in transformed carrot roots
- 20 and in orange flesh potato tubers. Both of these tissues are vegetative tissues, not seeds, and natively have a high level of carotenoids.

- Carotenoids are useful in a variety of applications. Generally, carotenoids are useful as supplements, particularly vitamin supplements, as vegetable oil based food products and food ingredients, as feed additives in animal feeds and as colorants.
- 25 Specifically, phytoene finds use in treating skin disorders. See, for example, U.S. Patent No. 4,642,318. Lycopene,  $\alpha$ - and  $\beta$ -carotene are used as food coloring agents. Consumption of  $\beta$ -carotene and lycopene has also been implicated as having

preventative effects against certain kinds of cancers. In addition, lutein consumption has been associated with prevention of macular degeneration of the eye.

Plant oils are useful in a variety of industrial and edible applications. Novel vegetable oils compositions and/or improved means to obtain oils compositions, from biosynthetic or natural plant sources are needed. Depending upon the intended oil use, various different fatty acid compositions are desired. The demand for modified oils with specific fatty acid compositions is great, particularly for oils high in oleic acid. See, Haumann, B. F. (1996) *INFORM* 7:320-334. As reported by Haumann, the ideal frying oil would be a low-saturate, high oleic and low linolenic oil.

Furthermore, studies in recent years have established the value of monounsaturated fatty acids as a dietary constituent.

Attempts have been made over the years to improve the fatty acid profiles of particular oils. For example, the oxidative stability of vegetable oil is related to the number of double bonds in its fatty acids. That is, molecules with several double bonds are recognized to be more unstable. Thus, scientists have attempted to reduce the content of  $\alpha$ -linolenic acid in order to improve shelf life and oxidative stability, particularly under heat.

It is apparent that there is needed a method for producing significant levels of carotenoid compounds in crop plants and particularly in plant seeds. It would additionally be beneficial to alter the fatty acid content of the plants and seeds. Such altered seed products would be useful nutritionally as well as provide a source for producing more stable oils. There is no report of methods to substantially altering the levels and composition of carotenoids produced in a plant seed, particularly with respect to increasing the level of production of carotenoids. There is therefore needed, a useful method for altering carotenoid levels in plants, particularly seeds, and for producing oils with modified carotenoid composition and/or content.

#### SUMMARY OF THE INVENTION

Transformed plants, plant cells and seeds having altered carotenoid levels and/or modified fatty acid compositions are provided. The plants, plant cells and seeds are transformed with at least one carotenoid biosynthesis gene, or a combination thereof. Methods for making and using the transformed compositions of the invention are also provided. Methods find use in altering carotenoid levels in plants, particularly seeds, as well as increasing particular compounds for molecular farming, such as for production of particular carotenoids. At the same time, the transformed compositions, particularly seeds, provide a source of modified oils, which oils may be extracted from the seeds in order to provide an oil product comprising a natural source of various carotenoids, carotenoid mixtures. In a particular aspect of the present invention, transformed seed can provide a source for particular carotenoid compounds and/or for modified speciality oils having altered carotenoid compositions and/or altered fatty acid composition, particularly having increased levels of oleic acid and decreased levels of linoleic and linolenic acids.

15

### **BRIEF DESCRIPTION OF THE FIGURES**

Figure 1 shows the nucleotide sequence of the SSU/*crtB* fusion sequence.

Figure 2 presents constructs for expression of carotenoid biosynthesis genes in plant seeds. Figure 2A shows plasmid pCGN3390 which contains the napin promoter operably linked to the SSU/*crtB* sequence. Figure 2B shows plasmid pCGN3392 which contains the napin promoter operably linked to the SSU/*crtE* sequence. Figure 2C shows plasmid pCGN9010 which contains the napin promoter operably linked to the SSU/*crtI* sequence. Figure 2D shows plasmid pCGN9009 which contains the napin promoter operably linked to the SSU/*crtB* sequence and the napin promoter operably linked to the SSU/*crtI* sequence. Figure 2E shows plasmid pCGN9002 which contains the napin promoter operably linked to the SSU/*crtB* sequence and the napin promoter operably linked to an antisense epsilon cyclase sequence. Figure 2F shows plasmid pCGN9017 which contains the napin promoter

20

25

operably linked to the SSU/*crtB* sequence and the napin promoter operably linked to an antisense beta cyclase sequence. Figure 2G shows plasmid pCGN6204 which contains the napin promoter operably linked to the SSU/*crtB* sequence and the napin promoter operably linked to the SSU/*crtW* sequence. Figure 2H shows plasmid pCGN6205 which contains the napin promoter operably linked to the SSU/*crtB* sequence and the napin promoter operably linked to the *crtZ* sequence. Figure 2I shows plasmid pCGN6206 which contains the napin promoter operably linked to the SSU/*crtB* sequence, the napin promoter operably linked to the *crtW* sequence and the napin promoter operably linked to the *crtZ* sequence.

Figure 3 shows the results of analyses of saponified samples for control seeds.

Figure 4 shows the results of analyses of saponified samples for pCGN3390 transformed seeds.

Figure 5 shows a graph of the fatty acid analysis in pCGN3390 transformed seeds and demonstrates that the increase in 18:1 fatty acids correlates with a decrease in 18:2 and 18:3.

Figure 6 shows a graph of the fatty acid analysis in pCGN3390 transformed seeds and demonstrates that the increase in 18:1 correlates with an increase in both 18:0 and 20:0, but little effect is seen in 16:0.

Figure 7 shows a graph of the fatty acid analysis in pCGN3390 transformed seeds and demonstrates the increase in 18:0 correlates well with an increase in 20:0.

Figure 8 shows a carotenoid biosynthesis pathway.

Figure 9 provides sequence of *B. napus* epsilon cyclase cDNA clone 9-4.

Figure 10 provides sequence of *B. napus* epsilon cyclase cDNA clone 7-6.

Figure 11 provides sequence of a *B. napus* beta cyclase cDNA clone.

Figure 12 provides T2 seed analysis of 3390 transformed *Brassica napus* plants.

Figure 13 provides T3 seed analysis of 3390 transformed *Brassica napus* plants.

Figure 14 provides T2 seed analysis of 9002 transformed *Brassica napus* plants.

Figure 15 shows the nucleotide sequence of the SSU/*crtZ* fusion sequence.

Figure 16 shows the nucleotide sequence of the SSU/*crtW* fusion sequence.

5        Figure 17 shows the HPLC trace for detection of xanthophylls from extractions from seed of 6204 transgenic lines.

### DETAILED DESCRIPTION OF THE INVENTION

10        In accordance with the subject invention, methods for increasing production of carotenoid compounds, as well as for altering fatty acid compositions in a plant, particularly in plant seeds, are provided. The method involves transforming a plant cell with at least one carotenoid biosynthesis biosynthesis gene. This has the effect of altering carotenoid biosynthesis, particularly increasing the production of downstream products, as well as providing novel seed oils having desirable fatty acid  
15        compositions. A second gene can then be utilized to shunt the metabolic activity to the production of particular carotenoid, or to further alter the fatty acid composition.

Surprisingly, it has been found that transformation of a plant with an early carotenoid biosynthesis gene leads to a significant increase in the flux through the carotenoid pathway resulting in an increase in particular carotenoids. That is, there is  
20        an increase in the metabolic activity that can be further manipulated for the production of specific carotenoids. In addition, the transformed seeds may demonstrate altered fatty acid compositions as the result of the carotenoid gene expression, such as seen with the seeds described herein from plants transformed with a phytoene synthase gene.

25        Thus, using the methods of the invention, seeds are provided which produce high levels of particular carotenoids and/or produce speciality oils having a desired fatty acid composition. In oilseed *Brassica*, for example, transformation with an early carotenoid biosynthesis gene leads to seeds having significant increases in the levels

of  $\alpha$ -carotene,  $\beta$ -carotene and lutein. In addition, the *Brassica* seeds demonstrate an altered fatty acid composition and yield a vegetable oil which has increased oleic acid content and decreased linoleic and linolenic acid content. Thus, the transformed seed can provide a source of carotenoid products as well as modified seed oil. In this manner, modified speciality oils can be produced and new sources of carotenoids for extraction and purification are provided.

The oils of the present invention also provide a substantial improvement with respect to stability as compared to two other major plant sources of carotenoids, marigold petals and red palm oil (mesocarp). Although instability is observed in seeds stored in air at room temperature as demonstrated by loss of approximately 20-30% of total carotenoids after 4 weeks of storage, the loss after 1-2 weeks is only 10%. Palm mesocarp, by contrast, must be processed within a day or two of harvest in order to avoid major losses of carotenoids. Furthermore, the carotenoid decomposition in the seeds of the present invention may be reduced significantly by storage of the seeds under nitrogen.

For the production of a seed having an increase in carotenoid biosynthesis, transformation of the plant with an early carotenoid biosynthesis gene is sufficient. By early carotenoid biosynthesis gene is intended geranylgeranyl pyrophosphate synthase, phytoene synthase, phytoene desaturase, and isopentenyl diphosphate (IPP) isomerase. A variety of sources are available for the early carotenoid biosynthesis genes and for the most part, a gene from any source can be utilized. However, it is recognized that because of co-suppression, the use of a plant gene native to the target host plant may not be desirable where increased expression of a particular enzyme is desired.

A number of early carotenoid biosynthesis genes, also referred herein as DNA sequences derived from carotenoid biosynthesis gene coding regions, have been isolated and are available for use in the methods of the present invention. See, for example:

IPP isomerase has been isolated from: *R. Capsulatus* (Hahn *et al.* (1996) *J. Bacteriol.* 178:619-624 and the references cited therein), GenBank Accession Nos. U48963 and X82627, *Clarkia xantiana* GenBank Accession No. U48962, *Arabidopsis thaliana* GenBank Accession No. U48961, *Schizosaccharomyces pombe* GenBank  
5 Accession No. U21154, human GenBank Accession No. X17025, *Kluyveromyces lactis* GenBank Accession No. X14230;

geranylgeranyl pyrophosphate synthase from *E. Uredovora* Misawa *et al.* (1990) *J. Bacteriol.* 172:6704-6712 and Application WO 91/13078; and from plant sources, including white lupin (Aitken *et al.* (1995) *Plant Phys.* 108:837-838), bell  
10 pepper (Badillo *et al.* (1995) *Plant Mol. Biol.* 27:425-428) and *Arabidopsis* (Scolnik and Bartely (1994) *Plant Physiol.* 104:1469-1470; Zhu *et al.* (1997) *Plant Cell Physiol.* 38:357-361).

phytoene synthase from a number of sources including *E. Uredovora*, *Rhodobacter capsulatus*, and plants Misawa *et al.* (1990) *J. Bacteriol.* 172:6704-6712,  
15 GenBank Accession No. D90087, Application WO 91/13078, Armstrong *et al.* (1989) *Mol. Gen. Genet.* 216:254-268, Armstrong, G. A. "Genetic Analysis and regulation of carotenoid biosynthesis. In R. C. Blankenship, M. T. Madigan, and C. E. Bauer (ed.), *Anoxygenic photosynthetic bacteria; advances in photosynthesis*. Kluwer Academic Publishers, Dordrecht, The Netherlands, Armstrong *et al.* (1990) *Proc. Natl. Acad.*  
20 *Sci USA* 87:9975-9979, Armstrong *et al.* (1993) *Methods Enzymol.* 214:297-311, Bartley and Scolnik (1993) *J. Biol. Chem.* 268:27518-27521. Bartley *et al.* (1992) *J. Biol. Chem.* 267:5036-5039, Bramley *et al.* (1992) *Plant J.* 2:291-343, Ray *et al.* (1992) *Plant Mol. Biol.* 19:401-404, Ray *et al.* (1987) *Nucleic Acids Res.* 15:10587, Romer *et al.* (1994) *Biochem. Biophys. Res. Commun.* 196:1414-1421, Karvouni *et al.*  
25 (1995) *Plant Molecular Biology* 27:1153-1162, GenBank Accession Nos. U32636, Z37543, L37405, X95596, D58420, U32636, Z37543, X78814, X82458, S71770, L27652, L23424, X68017, L25812, M87280, M38424, X69172, X63873, and

X60441, Armstrong, G. A. (1994) *J. Bacteriol.* 176:4795-4802 and the references cited therein; and,

phytoene desaturase from bacterial sources including *E. uredovora* Misawa *et al.* (1990) *J. Bacteriol.* 172:6704-6712, and Application WO 91/13078 (GenBank Accession Nos. L37405, X95596, D58420, X82458, S71770, and M87280); and from  
5 plant sources, including maize (Li *et al.* (1996) *Plant Mol. Biol.* 30:269-279), tomato (Pecker *et al.* (1992) *Proc. Nat. Acad. Sci.* 89:4962-4966 and Aracri *et al.* (1994) *Plant Physiol.* 106:789), and *Capisum annuum* (bell peppers) (Hugueney *et al.* (1992) *J. Biochem.* 209: 399-407), GenBank Accession Nos. U37285, X59948, X78271, and  
10 X68058).

See, generally, Misawa *et al.* (1990) *J. of Bacteriology* 172:6704-6712, E.P. 0393690 B1, U.S. Patent No. 5,429,939, Bartley *et al.* (1992) *J. Biol. Chem.* 267:5036-5039, Bird *et al.* (1991) *Biotechnology* 9:635-639, and US Patent No. 5,304,478, which disclosures are herein incorporated by reference.

15 Transformation with an early carotenoid gene, (herein referred to as the primary gene), increases the biosynthetic activity of the carotenoid pathway, and can lead to increased production of particular carotenoids such as for example,  $\alpha$ - and  $\beta$ -carotene. As described in more detail in the following examples, by expression of phytoene synthase as the primary gene, large increases in the carotenoid content  
20 generally, and particularly in the levels of  $\alpha$ - and  $\beta$ -carotene, are obtained in seeds of transformed plants. Oil comprising the carotenoids so produced may be extracted from the seeds to provide a valuable source of  $\alpha$ - and  $\beta$ -carotenes. Such an oil may find use as a food colorant, for example to add color to margarines, or as a food oil. An edible food oil with high  $\alpha$ - and  $\beta$ -carotene levels is of interest for prevention of  
25 Vitamin A deficiency which can result in night blindness. Thus, production of transformed plants and extraction of the high  $\alpha$ - and  $\beta$ -carotene oil to provide a useful food oil is particularly desirable in regions where night blindness is a widespread problem, such as in India and Asia.

In addition to high  $\alpha$ - and  $\beta$ -carotene levels, levels of other carotenoids are also increased in the oils exemplified herein. For example, lutein levels are increased in seeds from plants transformed with a phytoene synthase gene, as well as in seeds from plants transformed with a GGPP synthase gene, *crtE* (3392), or with phytoene desaturase, *crtI* (9010).

Furthermore, additional primary genes may be expressed to provide for even greater flux through the carotenoid pathway. For example, in oilseed *Brassica* seeds transformed with a phytoene synthase gene as described herein, increased levels of phytoene are observed. Thus, increasing the expression of phytoene desaturase as well as phytoene synthase may result in further increases in the levels of carotenoids, such as  $\alpha$ - and  $\beta$ -carotene and lutein, produced. Such further modification of carotenoid composition is demonstrated here in transgenic plant seeds transformed with pCGN9009 for the expression of *crtB* and *crtI* genes. Additionally, plants expressing both phytoene synthase and GGPP synthase genes are desirable. Such plants may demonstrate even greater flux through the carotenoid pathway as indicated by the increased production of chlorophyll observed in plants of the present invention which have been transformed to express a GGPP synthase gene (*crtE*) in the absence of *crtB* overexpression.

Interestingly, plants expressing a GGPP synthase gene did not have significant modifications of the tocopherol content. Since GGPP is a branch point of the carotenoid, chlorophyll and tocopherol pathways in plants, these observations suggest that the next enzymatic step in tocopherol biosynthesis, catalyzed by GGPP hydrogenase, is a rate limiting step for tocopherol production. Thus, providing for increased expression of GGPP hydrogenase, alone or in conjunction with increased expression of GGPP synthase would be expected to result in an increase of flux to the tocopherol pathway.

Also of interest are plants which are transformed to express three early carotenoid biosynthesis genes, *crtB*, *crtE*, and *crtI*. Plants expressing two or three

different carotenoid biosynthesis genes may be produced by either transforming a plant with a construct providing for expression of the desired genes, using a multiple gene construct or by cotransformation with multiple constructs, or by crossing plants which contain the different desired genes.

- 5           In addition to the production of the carotenoids described herein, once the biosynthetic activity has been increased by expression of the primary carotenoid biosynthesis gene or genes, the pathway can be diverted for the production of specific compounds. The diversion involves the action of at least one second gene of interest, (the secondary gene). The secondary gene can encode an enzyme to force the
- 10           production of a particular compound or alternatively can encode a gene to stop the pathway for the accumulation of a particular compound. For forcing the production of a particular compound, expression of a carotenoid biosynthesis gene in the pathway for the desired carotenoid compound is used. Genes native or foreign to the target plant host may find use in such methods, including, for example, carotenoid
- 15           biosynthesis genes from sources other than higher plant, such as bacteria, including *Erwinia* and *Rhodobacter* species. For stopping the pathway in order to accumulate a particular carotenoid compound, the secondary gene will provide for inhibition of transcription of a gene native to the target host plant, wherein the enzyme encoded by the inhibited gene is capable of modifying the desired carotenoid compound.
- 20           Inhibition may be achieved by transcription of the native gene to be inhibited in either the sense (cosuppression) or antisense orientation of the gene.

          For example, for alteration of the carotenoid composition towards the accumulation of higher levels of  $\beta$ -carotene derived carotenoids, such as zeaxanthin, zeaxanthin diglucoside, canthaxanthin, and astaxanthin, inhibition of lycopene epsilon

25           cyclase is desired to prevent accumulation of alpha carotene and its derivative carotenoids, such as lutein. In addition, overexpression of lycopene  $\beta$ -cyclase may be used to increase the accumulation of  $\beta$ -carotene derived carotenoids. Thus, antisense lycopene epsilon cyclase and lycopene  $\beta$ -cyclase are examples of sequences which

find use in secondary gene constructs of interest in the present invention.

Furthermore, in conjunction with the inhibition of lycopene epsilon cyclase, increased expression of additional secondary genes may be desired for increased accumulation of a particular beta-carotene derived carotenoid. For example, increased  $\beta$ -carotene hydroxylase expression is useful for production of zeaxanthin, whereas increased  $\beta$ -carotene hydroxylase and keto-introducing enzyme expression is useful for production of astaxanthin. Alternatively, for accumulation of lycopene, inhibition of lycopene beta cyclase or of lycopene epsilon cyclase and lycopene beta cyclase is desired to reduce conversion of lycopene to alpha- and beta-carotene.

Thus, the carotenoid pathway can be manipulated by expression of carotenoid biosynthesis genes to increase production of particular carotenoids, or by decreasing levels of a particular carotenoid by transformation with antisense DNA sequences which prevent the conversion of a selected precursor compound into the next carotenoid in the pathway.

Secondary genes of interest in the present application include but are not limited to:

$\beta$ -carotene hydroxylase or *crtZ* (Hundle *et al.* (1993) *FEBS Lett.* 315:329-334, GenBank Accession No. M87280) for the production of zeaxanthin;

genes encoding keto-introducing enzymes, such as *crtW* (Misawa *et al.* (1995) *J. Bacteriol.* 177:6575-6584, WO 95/18220, WO 96/06172) or  $\beta$ -C-4-oxygenase (*crtO*; Harker and Hirschberg (1997) *FEBS Lett.* 404:129-134) for the production of canthaxanthin;

*crtZ* and *crtW* or *crtO* for the production of astaxanthin;

$\epsilon$ -cyclase and  $\epsilon$ -hydroxylase for the production of lutein;

$\epsilon$ -hydroxylase and *crtZ* for the production of lutein and zeaxanthin;

lycopene  $\beta$ -cyclase (*crtY*) (Hugueney *et al.* (1995) *Plant J.* 8:417-424, Cunningham FX Jr (1996) *Plant Cell* 8:1613-1626, Scolnik and Bartley (1995) *Plant Physiol.* 108:1343, GenBank Accession Nos. X86452,

L40176, X81787, U50739 and X74599) for increased production of  $\beta$ -carotene.

antisense lycopene  $\epsilon$ -cyclase (GenBank Accession No. U50738) for increased production of  $\beta$ -carotene;

antisense lycopene  $\epsilon$ -cyclase and lycopene  $\beta$ -cyclase for the production of lycopene;

antisense plant phytoene desaturase for the production of phytoene; etc.

In this manner, the pathway can be modified for the high production of any particular carotenoid compound of interest, or for a particular subset of carotenoid compounds, such as xanthophylls. Such compounds include but are not limited to the particular compounds described above, as well as,  $\alpha$ -cryptoxanthin,  $\beta$ -cryptoxanthin,  $\zeta$ -carotene, phytofluene, neurosporane, adonixanthin, echineneone, hydroxycanthaxanthin and the like. For a review of xanthophyll production, see Misawa, *et al.* (1995) *supra*. Using the methods of the invention, any compound of interest in the carotenoid pathway can be produced at high levels in a seed.

Secondary genes can also be selected to alter the fatty acid content of the plant for the production of speciality oils. For example, acyl-ACP thioesterase genes having specificity for particular fatty acid chain lengths may be used. See, for example, USPN 5,304,481, USPN 5,455,167, WO 95/13390, WO 94/10288, WO 92/20236, WO 91/16421, WO 97/12047 and WO 96/36719. Other fatty acid biosynthesis genes of interest include, but are not limited to,  $\beta$ -keto acyl-ACP synthases (USPN 5,510,255), fatty acyl CoA synthases (USPN 5,455,947), fatty acyl reductases (USPN 5,370,996) and stearyl-ACP desaturases (WO 91/13972).

Of particular interest is the use of a mangosteen acyl-ACP thioesterase as a secondary gene for fatty acid content modification. As described in WO 96/36719 and WO 97/12047, a high stearate content may be obtained in seeds by expression of a mangosteen acyl-ACP thioesterase. To combine the high oleic acid trait of the 3390 plants described herein with the 5266 high stearate plants described in WO 97/12047, crosses were made between 3390-1 and 5266-35 and between 3390-1 and 5266-5.

Seeds resulting from these crosses contained oil having a high stearate, low linoleic, low linolenic and high carotenoid phenotype.

Any means for producing a plant comprising the primary gene or both the primary and secondary genes are encompassed by the present invention. For example, the secondary gene of interest can be used to transform a plant at the same time as the primary gene either by inclusion of both expression constructs in a single transformation vector or by using separate vector, each of which express desired primary or secondary genes. The secondary gene can be introduced into a plant which has already been transformed with the primary gene, or alternatively, transformed plants, one expressing the primary gene and one expressing the secondary gene, can be crossed to bring the genes together in the same plant.

By combining the genes with tissue specific promoters, the carotenoid levels can be altered in particular tissues of the plant. Thus, carotenoid levels in the seed, including embryos and endosperm, can be altered by the use of seed specific transcriptional initiation regions. Such regions are disclosed, for example, in U.S. Patent No. 5,420,034, which disclosure is herein incorporated by reference.

In this manner, the transformed seed provides a factory for the production of modified oils. The modified oil may be used or alternatively, the compounds in the oils can be isolated. Thus, the present invention allows for the production of particular compounds of interest as well as speciality oils.

The primary or secondary genes encoding the enzymes of interest can be used in expression cassettes for expression in the transformed plant tissues. To alter the carotenoid or fatty acid levels in a plant of interest, the plant is transformed with at least one expression cassette comprising a transcriptional initiation region linked to a gene of interest. Such an expression cassette is provided with a plurality of restriction sites for insertion of the gene of interest to be under the transcriptional regulation of the regulatory regions.

The transcriptional initiation may be native or analogous to the host or foreign or heterologous to the host. By foreign is intended that the transcriptional initiation region is not found the wild-type host into which the transcriptional initiation region is introduced.

5           Of particular interest are those transcriptional initiation regions associated with storage proteins, such as napin, cruciferin,  $\beta$ -conglycinin, phaseolin, or the like, and proteins involved in fatty acid biosynthesis, such as acyl carrier protein (ACP). See, U.S. Patent No. 5,420,034, herein incorporated by reference.

10           The transcriptional cassette will include the in 5'-3' direction of transcription, a transcriptional and translational initiation region, a DNA sequence of interest, and a transcriptional and translational termination region functional in plants. The termination region may be native with the transcriptional initiation region, may be native with the DNA sequence of interest, or may be derived from another source. Convenient termination regions are available from the Ti-plasmid of *A. tumefaciens*,  
15           such as the octopine synthase and nopaline synthase termination regions. See also, Guerineau et al., (1991), *Mol. Gen. Genet.*, 262:141-144; Proudfoot, (1991), *Cell*, 64:671-674; Sanfacon et al., (1991), *Genes Dev.*, 5:141-149; Mogen et al., (1990), *Plant Cell*, 2:1261-1272; Munroe et al., (1990), *Gene*, 91:151-158; Ballas et al., (1989), *Nucleic Acids Res.*, 17:7891-7903; Joshi et al., (1987), *Nucleic Acid Res.*,  
20           15:9627-9639).

          For the most part, the genes of interest of the present invention will be targeted to plastids, such as chloroplasts, for expression. Thus, the carotenoid biosynthesis gene or genes of interest may be inserted into the plastid for expression with appropriate plastid constructs and regulatory elements. Alternatively, nuclear  
25           transformation may be used in which case the expression cassette will contain a gene encoding a transit peptide to direct the carotenoid biosynthesis gene of interest to the plastid. Such transit peptides are known in the art. See, for example, Von Heijne et al. (1991) *Plant Mol. Biol. Rep.* 9:104-126; Clark et al. (1989) *J. Biol. Chem.*

264:17544-17550; della-Cioppa *et al.* (1987) *Plant Physiol.* 84:965-968; Romer *et al.* (1993) *Biochem. Biophys. Res Commun.* 196:1414-1421; and, Shah *et al.* (1986) *Science* 233:478-481. Plant carotenoid genes useful in the invention may utilize native or heterologous transit peptides.

5           It is noted that where the gene or DNA sequence of interest is an antisense DNA, targeting to a plastid is not required. In addition, where antisense inhibition of a given carotenoid biosynthesis gene is desired, the entire DNA sequence derived from the carotenoid biosynthesis gene is not required.

          The construct may also include any other necessary regulators such as plant  
10       translational consensus sequences (Joshi, C.P., (1987), *Nucleic Acids Research*, 15:6643-6653), introns (Luchrsen and Walbot, (1991), *Mol. Gen. Genet.*, 225:81-93) and the like, operably linked to the nucleotide sequence of interest.

          It may be beneficial to include 5' leader sequences in the expression cassette which can act to enhance translation. Translation leaders are known in the art and  
15       include: picornavirus leaders, for example, EMCV leader (Encephalomyocarditis 5' noncoding region) (Elroy-Stein, O., Fuerst, T.R., and Moss, B. (1989) *PNAS USA* 86:6126-6130); potyvirus leaders, for example, TEV leader (Tobacco Etch Virus) (Allison *et al.*, (1986); MDMV leader (Maize Dwarf Mosaic Virus); *Virology*, 154:9-20), and human immunoglobulin heavy-chain binding protein (BiP), (Macejak,  
20       D.G., and Sarnow, P., (1991), *Nature*, 353:90-94; untranslated leader from the coat protein mRNA of alfalfa mosaic virus (AMV RNA 4), (Jobling, S.A., and Gehrke, L., (1987), *Nature*, 325:622-625; tobacco mosaic virus leader (TMV), (Gallie, D.R. *et al.*, (1989), *Molecular Biology of RNA*, pages 237-256; and maize chlorotic mottle virus leader (MCMV) (Lommel, S.A. *et al.*, (1991), *Virology*, 81:382-385. See also,  
25       Della-Cioppa *et al.*, (1987), *Plant Physiology*, 84:965-968.

          Depending upon where the DNA sequence of interest is to be expressed, it may be desirable to synthesize the sequence with plant preferred codons, or alternatively with chloroplast preferred codons. The plant preferred codons may be

determined from the codons of highest frequency in the proteins expressed in the largest amount in the particular plant species of interest. See, EPA 0359472; EPA 0385962; WO 91/16432; Perlak *et al.* (1991) *Proc. Natl. Acad. Sci. USA* 88:3324-3328; and Murray *et al.* (1989) *Nucleic Acids Research* 17: 477-498. In this manner,  
5 the nucleotide sequences can be optimized for expression in any plant. It is recognized that all or any part of the gene sequence may be optimized or synthetic. That is, synthetic or partially optimized sequences may also be used. For the construction of chloroplast preferred genes, see USPN 5,545,817.

In preparing the transcription cassette, the various DNA fragments may be  
10 manipulated, so as to provide for the DNA sequences in the proper orientation and, as appropriate in the proper reading frame. Towards this end, adapters or linkers may be employed to join the DNA fragments or other manipulations may be involved to provide for convenient restriction sites, removal of superfluous DNA, removal of restriction sites, or the like. For this purpose, *in vitro* mutagenesis, primer repair,  
15 restriction, annealing, resection, ligation, or the like may be employed, where insertions, deletions or substitutions, *e.g.* transitions and transversions, may be involved.

The recombinant DNA molecules of the invention can be introduced into the plant cell in a number of art-recognized ways. Those skilled in the art will appreciate  
20 that the choice of method might depend on the type of plant, *i.e.* monocot or dicot, targeted for transformation. Suitable methods of transforming plant cells include microinjection (Crossway *et al.* (1986) *BioTechniques* 4:320-334), electroporation (Riggs *et al.* (1986) *Proc. Natl. Acad. Sci. USA* 83:5602-5606, *Agrobacterium* mediated transformation (Hinchee *et al.* (1988) *Biotechnology* 6:915-921) and  
25 ballistic particle acceleration (see, for example, Sanford *et al.*, U.S. Patent 4,945,050; and McCabe *et al.* (1988) *Biotechnology* 6:923-926). Also see, Weissinger *et al.* (1988) *Annual Rev. Genet.* 22:421-477; Sanford *et al.* (1987) *Particulate Science and Technology* 5:27-37(onion); Christou *et al.* (1988) *Plant Physiol.*

87:671-674(soybean); McCabe *et al.* (1988) *Bio/Technology* 6:923-926 (soybean);  
Datta *et al.* (1990) *Biotechnology* 8:736-740(rice); Klein *et al.* (1988) *Proc. Natl.*  
*Acad. Sci. USA*, 85:4305-4309(maize); Klein *et al.* (1988) *Biotechnology* 6:559-563  
(maize); Klein *et al.* (1988) *Plant Physiol.* 91:440-444(maize); Fromm *et al.* (1990)  
5 *Biotechnology* 8:833-839; and Gordon-Kamm *et al.* (1990) *Plant Cell* 2:603-618  
(maize).

Alternatively, a plant plastid can be transformed directly. Stable  
transformation of chloroplasts has been reported in higher plants, see, for example,  
Svab *et al.* (1990) *Proc. Nat'l. Acad. Sci. USA* 87:8526-8530; Svab & Maliga (1993)  
10 *Proc. Nat'l Acad. Sci. USA* 90:913-917; Staub & Maliga (1993) *Embo J.* 12:601-606.  
The method relies on particle gun delivery of DNA containing a selectable marker and  
targeting of the DNA to the plastid genome through homologous recombination. In  
such methods, plastid gene expression can be accomplished by use of a plastid gene  
promoter or by trans-activation of a silent plastid-borne transgene positioned for  
15 expression from a selective promoter sequence such as that recognized by T7 RNA  
polymerase. The silent plastid gene is activated by expression of the specific RNA  
polymerase from a nuclear expression construct and targeting of the polymerase to the  
plastid by use of a transit peptide. Tissue-specific expression may be obtained in such  
a method by use of a nuclear-encoded and plastid-directed specific RNA polymerase  
20 expressed from a suitable plant tissue specific promoter. Such a system has been  
reported in McBride *et al.* (1994) *Proc. Natl. Acad. Sci., USA* 91:7301-7305.

The cells which have been transformed may be grown into plants in  
accordance with conventional ways. See, for example, McCormick *et al.*, *Plant Cell*  
*Reports* (1986), 5:81-84. These plants may then be grown, and either self orcrossed  
25 with a different plant strain, and the resulting homozygotes or hybrids having the  
desired phenotypic characteristic identified. Two or more generations may be grown  
to ensure that the subject phenotypic characteristic is stably maintained and inherited

and then seeds harvested to ensure the desired phenotype or other property has been achieved.

As a host cell, any plant variety may be employed. Of particular interest, are plant species which provide seeds of interest. For the most part, plants will be chosen where the seed is produced in high amounts, a seed-specific product of interest is involved, or the seed or a seed part is edible. Seeds of interest include the oil seeds, such as oilseed *Brassica* seeds, cotton seeds, soybean, safflower, sunflower, coconut, palm, and the like; grain seeds, *e.g.* wheat, barley, oats, amaranth, flax, rye, triticale, rice, corn, etc.; other edible seeds or seeds with edible parts including pumpkin, squash, sesame, poppy, grape, mung beans, peanut, peas, beans, radish, alfalfa, cocoa, coffee, tree nuts such as walnuts, almonds, pecans, chick-peas etc.

It is noted that the methods of the present invention have been demonstrated to provide increased carotenoid production in both oilseed *Brassica*, which has a green embryo, and in cotton, which has a white embryo.

In seed of cotton plants transformed with phytoene synthase, increases of total carotenoid levels ranging from 10 to 300 fold may be obtained. The majority of the increase in carotenoid levels, in this case, about 80%, is observed as an increase in phytoene levels. Increases in lutein levels are also obtained in this case, ranging from 1.5 to a 5 fold increase. In addition,  $\alpha$ -carotene and  $\beta$ -carotene levels are also increased 10 to 100 fold, with  $\beta$ -carotene levels being 20 fold higher than  $\alpha$ -carotene levels. Thus, as seen with *Brassica*, a second early carotenoid biosynthesis gene, such as phytoene desaturase, may be used with *crtB* to increase the metabolic flux through the carotenoid/ isoprenoid pathway in cotton to produce a particular carotenoid.

Furthermore, it should also be noted that the methods of the present invention have also been demonstrated herein to provide increased carotenoid production in additional plant species, such as *Arabidopsis*.

In seed of *Arabidopsis* plants transformed with phytoene synthase, increases of total carotenoid levels ranging from 3 to at least approximately 20 fold may be

obtained. A large increase in the level of  $\beta$ -carotene, ranging from 10 to 70 fold, are observed in seeds of transgenic *Arabidopsis* plants. Increases in lutein levels are also obtained in this case, ranging from 1.5 to a 3 fold increase. In addition, phytoene,  $\alpha$ -carotene and lycopene levels are also increased. However, such increases in  $\alpha$ -carotene, phytoene and lycopene are difficult to quantify as these levels are too low to measure in nontransformed control plants. Thus, as seen with Brassica and cotton, a second early carotenoid biosynthesis gene may be used with *crtB* to increase the metabolic flux through the carotenoid/ isoprenoid pathway in cotton to produce a particular carotenoid and to reduce the increased levels of phytoene.

In one embodiment of the invention, seed transcriptional initiation regions are used in combination with at least one carotenoid biosynthesis gene. This increases the activity of the carotenoid pathway and alters carotenoid levels in the transformed seed. In this manner, particular genes can be selected to promote the formation of compounds of interest. Where the gene selected is an early carotenoid biosynthesis gene the transformed seed has a significant increase in carotenoid biosynthesis as the result of an increase in the flux through the pathway. For *Brassica* seeds transformed with an early carotenoid biosynthesis gene, significant increases in the production of  $\alpha$ -carotene,  $\beta$ -carotene and smaller increases in lutein in the seed oil, as well as altered oil fatty acid compositions are obtained.

Where the early carotenoid biosynthesis gene is phytoene synthase, significant increases of a particular carotenoid include those ranging from a 10 to a 50 fold increase, preferably at least a 50 to a 100 fold increase, more preferably, at least a 50 to a 200 fold increase, such as the increases seen in  $\alpha$ -carotene and  $\beta$ -carotene levels. Lutein levels, in this case, are also increased, but lower increases of 1.5 - 2 fold are obtained. At the same time, total carotenoid levels may be increased at least 10 to 25 fold, preferably 25 to 60 fold, and more preferably 25 to 100 fold. Thus, a seed of the invention transformed with a phytoene synthase gene has a substantial increase in levels of  $\alpha$ - and  $\beta$ -carotene and total carotenoids, as well as smaller increases in lutein

and other carotenoids, including phytoene. In some cases, it is not possible to quantitate the fold increase in a given carotenoid compound, as the levels are too low to detect in seeds from comparable non-transformed plants. In *Brassica napus*, for example,  $\alpha$ -cryptoxanthin, lycopene, phytoene and phytofluene are all detected in various levels in seeds transformed with a *crtB* gene, but are not detectable in seeds from untransformed *Brassica napus* plants.

Where the early carotenoid biosynthesis gene is GGPP synthase or phytoene desaturase, 1.5 to 2 fold increases in lutein and  $\beta$ -carotene have been obtained in at least one transgenic plant for each gene. Lycopene is also detected in seeds from *Brassica napus* plants transformed with a *crtE* (GGPP synthase) gene. Total carotenoids in *crtE* or *crtI* transformants are also increased approximately 2 fold. Chlorophyll levels are also increased in *B. napus* transgenic plants expressing a *crtE* gene suggesting an increase in the levels of geranylgeranyl pyrophosphate (GGPP), which is the branch point substrate for carotenoid, chlorophyll and tocopherol biosynthesis. Increases in chlorophyll levels of 1.5 to 2 fold may be obtained in developing and mature seeds. Thus, also of interest as sources of carotenoids are plants which have been engineered to express increased levels of both *crtB* and *crtE*.

As demonstrated herein, the effect of one early carotenoid biosynthesis gene on the metabolic energy flux through the carotenoid pathway may be further effected by the addition of a second early carotenoid biosynthesis gene. Thus, the addition of a second early carotenoid biosynthesis gene for increasing the metabolic flow through the carotenoid biosynthesis pathway is also of interest in the present invention, and may find use for production of particular carotenoids either in the presence or absence of a secondary carotenoid biosynthesis gene.

Where the early carotenoid biosynthesis gene phytoene synthase is cotransformed into *Brassica napus* with a second early carotenoid biosynthesis gene, phytoene desaturase, significant increases of particular carotenoids include increases in  $\alpha$ -carotene,  $\beta$ -carotene, and lutein such as observed by expression of *crtB* alone. In

addition, lycopene and phytoene levels are also increased in such plants, but increases are difficult to quantitate as these levels are too low to be detected in untransformed *Brassica napus* plants.

Furthermore, when *crtI* and *crtB* are both expressed, total carotenoid levels greater than those observed with *crtB* alone may be obtained. In at least one plant, total carotenoid levels of 1.5 fold those observed in *crtB* plants were obtained. Lycopene levels are also increased over levels obtained in seeds of plants transformed with *crtB* alone. Lycopene levels may be increased from 4 to 15 fold over those obtained in seed of a homozygous *crtB* plant. In addition, a reduction in the ratio of phytoene to total carotenoids is also obtained, and as a result, levels of  $\alpha$ -carotene and  $\beta$ -carotene are increased 1.2 to 1.8 fold over those obtained with *crtB* alone. In seeds of plants transformed with phytoene synthase alone, phytoene levels constituted as much as 20% of total carotenoids, while in plants cotransformed with phytoene synthase and phytoene desaturase, phytoene levels represent only 4% to 7% of the total carotenoids.

This metabolic energy effected by transformation with an early carotenoid gene can be funneled into a metabolic compound of choice by transformation with a second gene. As discussed above, the second gene is designed to promote the synthesis of a particular carotenoid by promoting the formation of the carotenoid of interest or alternatively by stopping the pathway to allow for the buildup of compounds. Therefore, significant amounts of carotenoids of interest can be produced in the transformed seeds of the present invention.

Where the primary carotenoid biosynthesis gene phytoene synthase is cotransformed with a secondary carotenoid biosynthesis gene,  $\beta$ -carotene ketolase, increases in levels of  $\alpha$ -carotene,  $\beta$ -carotene and phytoene, such as those seen with transformation with *crtB* alone, are obtained. Furthermore, echinenone and canthaxanthin levels are also increased. However, such increases are difficult to quantitate as echinenone and canthaxanthin are either not produced in *Brassica napus*

, or the levels are too low to be detected in *B. napus* plants expressing phytoene synthase alone and nontransformed control plants. Thus, for the production of a specific carotenoid, such as astaxanthin, the addition of a third carotenoid biosynthesis gene, such as  $\beta$ -carotene hydroxylase (*crtZ*), may find use in the present invention.

- 5 Furthermore, the addition of a fourth carotenoid biosynthesis gene, such as phytoene desaturase, may also find use in the present invention.

It should be noted that the carotenoid echinenone is a reaction intermediate in the production of canthoxanthin from  $\beta$ -carotene. The  $\beta$ -carotene ketolase (*crtW*) could react with the  $\beta$ -ring of  $\alpha$ - or  $\beta$ -carotene. One  $\beta$ -ring reaction in  $\beta$ -carotene results in echinenone, two  $\beta$ -ring reactions in  $\beta$ -carotene form canthaxanthin, and one  $\beta$ -ring reaction in  $\alpha$ -carotene makes 4-keto- $\alpha$ -carotene. This enzyme can not react with the  $\epsilon$ -ring of  $\alpha$ -carotene. Thus, two additional peaks on the HPLC chromatogram are produced in similar amounts, one representing echinenone, and the other may represent 4-keto- $\alpha$ -carotene.

- 15 Where the primary carotenoid biosynthesis gene phytoene synthase is cotransformed with an antisense secondary carotenoid biosynthesis gene,  $\epsilon$ -cyclase, large increases in levels of  $\alpha$ -carotene,  $\beta$ -carotene and phytoene, such as those seen with transformation with *crtB* alone, are obtained. Some difference in the ratio of  $\beta$ -carotene to  $\alpha$ -carotene is observed as compared to plants transformed with *crtB* alone, but large increases in both  $\alpha$ -carotene and  $\beta$ -carotene levels are still observed. Lutein levels, on the other hand, are either unchanged, increased, or in some cases decreased by as much as 80% as compared to seeds of untransformed control plants.

- 25 Initiation of carotenoid biosynthesis begins at approximately 15 days post anthesis in *B. napus* seeds, while expression of transformed genes utilizing the napin promoter begins about 18 days post anthesis. Thus, in order to more tightly control the  $\alpha$ -carotene pathway to allow for the build up of  $\beta$ -carotene pathway carotenoids using antisense  $\epsilon$ -cyclase, an earlier promoter, such as that of the *Lesquerella kappa* hydroxylase (described in pending U.S. patent application 08/898,038, filed 18 July,

1997), may find use. Thus, for increasing levels of a particular carotenoid using antisense, an earlier seed specific transcriptional initiation region, may be used with a secondary carotenoid biosynthesis gene.

5 The seeds of the invention which have been transformed with the primary early carotenoid biosynthesis gene also provide a source for novel oil compositions. The use of phytoene synthase as the primary gene, for example, results in substantial increases in oleic acid content in seed oil. By substantial increase is intended an increase of from about 5% to about 40%, specifically from about 20% to about 40%, more specifically from about 30% to about 40%. Thus, the seeds of the invention  
10 which have been transformed with a primary early carotenoid biosynthesis gene provide a source for modified oils having a high oleic acid content. That is, carotenoid biosynthesis genes, particularly early carotenoid biosynthesis genes can be used to produce seeds having at least 70% oleic acid, on a weight percentage basis. The oleic acid content in any seed can be altered by the present methods, even those  
15 seeds having a naturally high oleic acid content. Alteration of seeds having naturally high oleic acid contents by the present methods can result in total oleic acid contents of as high as 80%.

Importantly, there is also a decrease in linoleic and linolenic acid content. By decrease in linoleic fatty acid content is intended a decrease from about 10% to about  
20 25%, preferably about 25% to about 40%, more preferably about 35% to about 60%. By decrease in linolenic fatty acid content is intended a decrease from about 10% to about 30%, preferably about 30% to about 60%, more preferably about 50% to about 75%. Thus, the methods of the invention result in oils which are more oxidatively stable than the naturally occurring oils. The modified oils of the invention are low-  
25 saturate, high oleic and low linolenic. Furthermore, the present invention provides oils high in monounsaturated fatty acids which are important as a dietary constituent.

Based on the methods disclosed herein, seed oil can be modified to engineer an oil with a high oleic acid content as well as a high level of a carotenoid of interest.

High oleic acid and high  $\alpha$ - and  $\beta$ -carotene oils would have a longer shelf life as both the oleic acid and  $\alpha$ - and  $\beta$ -carotene content would lend stability. It is also noted that such oils are more desirable as sources of carotenoids than the natural red palm oil, which oil contains high levels of saturated fatty acids.

5           The transformed seed of the invention can thus provide a source of carotenoid products as well as modified fatty acids. Where the intent is to produce particular carotenoid compounds of interest, methods are available in the art for the purification of the carotenoid compounds. In the same manner, methods available in the art can be utilized to produce oils purified of carotenoids. See, generally, WO 96/13149 and  
10   Favati *et al.* (1988) *J. Food Sci.* 53:1532 and the references cited therein.

          The transformed seed and embryos additionally find use as screenable markers. That is, transformed seed and embryos can be visually determined and selected based on color as a result of the increased carotenoid content. The transformed seeds or embryos display a color ranging from yellow to orange to red as  
15   a result of the increased carotenoid levels. Therefore, where plant transformation methods involve an embryonic stage, such as in transformation of cotton or soybean, the carotenoid gene can be used in plant transformation experiments as a marker gene to allow for visual selection of transformants. Likewise, segregating seed can easily be identified as described further in the examples.

20           The following examples are offered by way of illustration and not by way of limitation.

## EXPERIMENTAL

### Example 1   Expression Construct and Plant Transformation

25    A. SSU fusions to *E. uredo* carotenoid biosynthesis genes

#### (1) Phytoene Synthase

          The SSU leader and *crtB* gene sequences were joined by PCR. The sequence of the SSU/*crtB* fusion is shown in Figure 1. The *crtB* gene from nucleotides 5057 to

5363 (numbering according to Misawa *et al.* (1990) *supra*) was joined to the SSU leader as follows. A *Bgl*III site was included upstream of the SSU leader start site to facilitate cloning. The thymidine nucleotide at 5057 of *crtB* was changed to an adenosine to make the first amino acid at the SSU leader/*crtB* junction a methionine, and the splice site a cys-met-asn. The native splice site for SSU is cys-met-gln. Note that Misawa *et al.* (1990) *supra* indicates that the start site for the coding region for *crtB* is at nucleotide 5096. Thus, there are 13 amino acids upstream of the published start of the coding region for *crtB* and after the SSU splice site in the *crtB*/SSU fusion. Twelve of these amino acids are translated from *Erwinia crtB* upstream sequence and one is the added methionine. The *crtB* from 5363 (*EcoRV*) to 6009 (*EcoRI*) was then attached to the SSU-*crtB* fusion to obtain a complete SSU-*crtB* fusion construct designated pCGN3373 (Fig. 1).

#### (2) Phytoene Desaturase

A plasmid comprising a *E. uredovora crtI* gene fused to the transit peptide sequence of the pea Rubisco small subunit was described by Misawa *et al.* (*The Plant Journal* (1993) 4:833-840. An approximately 2.1 kb *Xba*I/*EcoRI* fragment of this plasmid containing the SSU-*crtI* fusion and a nos 3' termination region was cloned in position for expression from a napin 5' promoter.

#### (3) GGPP Synthase

A similar construct containing the SSU transit fused to an *E. uredovora crtE* gene was obtained. The SSU-*crtE* fusion is present on an approximately 1.2 kb *Bgl*II/*Bam*HI fragment in pCGN3360.

B. SSU fusions to *A. aurantiacum* carotenoid biosynthesis genes

(4) beta-Carotene Hydroxylase (*crtZ*)

5 The SSU leader and *crtZ* gene sequences were joined by PCR. The *crtZ* gene (Misawa, *et al.* (1995) *supra*) nucleotide sequence was resynthesized to adjust for plant codon usage. The re synthesized *crtZ* gene was joined to the SSU leader by PCR as follows. A *Bgl*III site was included upstream of the SSU leader translation start site and a *Xho*I site was included downstream of the *crtZ* stop codon to facilitate cloning in the napin expression cassette. The nucleotide sequence of the complete ssu:*crtZ* fusion is shown in Figure 15.

(5) beta-Carotene Ketolase (*crtW*)

15 The SSU leader and *crtW* gene sequences were joined by PCR. The *crtW* gene (Misawa, *et al.* (1995) *supra*) nucleotide sequence was resynthesized to adjust for plant codon usage. The re synthesized *crtW* gene was joined to the SSU leader by PCR as follows. A *Bgl*III site was included upstream of the SSU leader translation start site and a *Xho*I site was included downstream of the stop codon to facilitate cloning in the napin expression cassette. The nucleotide sequence of the complete ssu:*crtW* fusion is shown in Figure 16.

20

C. Expression Constructs for Plant Transformation

(1) Phytoene Synthase

25 pCGN3373 carrying the complete SSU/*crtB* fusion was cut with *Bgl*III and *Bam*HI to excise the SSU/*crtB* fusion. The resulting fragment was ligated into the napin expression cassette in pCGN3223 at the *Bam*HI site (see WO 94/10288 for description of napin expression cassette). The resulting construct, pCGN3389, was digested with *Hind*III to excise the napin 5'-SSU/*crtB*-napin 3' fragment, which was then cloned into *Hind*III cut pCGN1559PASS yielding pCGN3390. pCGN1559PASS is a binary vector for *Agrobacterium*-mediated transformation such as those described

by McBride *et al.* (*Plant Mol. Biol.* (1990) 14:269-276) and is prepared from pCGN1559 by substitution of the pCGN1559 linker region with a linker region containing the following restriction digestion sites: *Asp718/AscI/PacI/XbaI/BamHI/SwaI/Sse8387(PstI)/HindIII*. A map of pCGN3390 is provided in Figure 2A.

5 (2) Phytoene Desaturase

A fragment comprising a napin 5'/SSU-*crtI* fusion/nos 3' construct as described above was cloned into a binary vector for plant transformation resulting in pCGN9010. A map of pCGN9010 is provided in Figure 2C.

(3) GGPP Synthase

10 pCGN3360 carrying the complete SSU/*crtE* fusion was cut with *BglII* and *BamHI* to excise the SSU/*crtE* fusion. The resulting 1.2 kb fragment was ligated into the napin expression cassette in pCGN3223 at the *BamHI* site. The resulting construct, pCGN3391, was digested with *HindIII* to excise the napin promoter-SSU/*crtE* napin 3' fragment, which was then cloned into *HindIII* cut pCGN1559PASS  
15 yielding pCGN3392. A map of pCGN3392 is provided in Figure 2B.

(4) Phytoene Synthase + Phytoene Desaturase

The napin 5'-SSU/*crtB*-napin 3' fragment from pCGN3389 and the napin 5'/SSU-*crtI* fusion/nos 3' as present in pCGN9010 were inserted into a binary vector resulting in pCGN9009, shown in Figure 2D.

20 (5) Antisense Epsilon Cyclase + Phytoene Synthase

*Brassica napus* epsilon cyclase genes are isolated by PCR using primers designed from an *Arabidopsis* epsilon cyclase gene (Cunningham FX Jr (1996) *Plant Cell* 8:1613-1626). Sequence of *B. napus* epsilon cyclase genes is provided in Figures 9 (clone 9-4) and 10 (clone 7-6). An antisense construct is prepared by  
25 cloning an *XhoI/BamHI* fragment of cDNA clone 9-4 into a napin expression cassette (pCGN3223) digested with *XhoI* and *BglII*. The napin 5'-antisense epsilon cyclase-napin 3' fragment is cloned along with a napin 5'-SSU/*crtB*-napin 3' fragment,

fragment into a binary vector for plant transformation, resulting in pCGN9002, shown in Figure 2E.

(6) Antisense Beta Cyclase + Phytoene Synthase

*Brassica napus* beta cyclase genes are isolated by PCR using primers designed from an *Arabidopsis* beta cyclase gene (Cunningham FX Jr (1996) *Plant Cell* 8:1613-1626). Sequence of a *B. napus* beta cyclase cDNA, 32-3, is provided in Figures 11. An antisense construct is prepared by cloning an *Xho*I fragment of the beta cyclase cDNA clone into a napin expression cassette (pCGN3223) digested with *Xho*I. A clone containing the beta cyclase in the antisense orientation is selected. The napin 5'-antisense beta cyclase-napin 3' fragment is cloned along with a napin 5-SSU/*crtB*-napin 3' fragment into a binary vector for plant transformation, resulting in pCGN9017, shown in Figure 2F.

(7) beta-Carotene Hydroxylase + Phytoene synthase

The vector pCGN9003 was constructed by removing the restriction sites between the *crtB* coding sequence and the napin 3' sequence by digestion with *Cla*I and *Xho*I and filling the ends with klenow creating the vector pCGN9000. pCGN9000 was digested with *Asp*718, and the fragment containing the napin 5'/SSU:*crtB*/napin 3' was ligated into the binary vector pCGN5139.

A binary vector for plant transformation, pCGN5139, was constructed using the neomycin phospho-transferase (*nptII*) kanamycin resistance gene driven by the CAMV 35S transcriptional initiation region (35S 5') and transcription termination (35S 3') sequences (Fraley et al., *Proc. Natl. Acad. Sci* (1983) 80:4803-4807, Gardner et al., (1986) *Plant Mol Biol* 6:221-228). The 35S 5'-*nptII*-35S 3' fragment was then cloned into a vector containing ori322, Right border (0.5Kb), lacZ, Left Border (0.58Kb), as an *Xho* I fragment between the Right border-lacZ and Left border sequences. The ColEI and pRi origins of replication as well as the Gentamycin resistance gene were acquired from a derivative of pCGN1532 (McBride and Summerfelt, *Plant Molecular Biology*, (1990), 14:269-276). Finally, a linker

containing unique restriction sites was synthesized and cloned into the *Asp* 718/ *Hind* III (within the *lacZ* sequence) sites to create the binary vector pCGN5139.

The plastid targeted *ssu:crtZ* fusion was cloned into the napin pCGN3223 seed expression cassette as a *Bgl* II -*Xho* I fragment to generate pCGN6203. The plasmid pCGN6203 carrying the complete napin cassette with *ssu:crtZ* was digested with *Not*I to excise the napin cassette containing the *ssu:crtZ* coding region. The excised fragment was ligated into the *Not* I site of the binary pCGN9003 carrying the napin SSU:*crtB* construct. The resulting construct, pCGN6205 (Figure 2H) is a binary vector for *Agrobacterium*-mediated transformation such as those described by McBride et al. (Plant Mol. Biol. (1990) 14:269-276) and is prepared from pCGN1559 by substitution of the pCGN1559 linker region with a linker region containing the following restriction digestion sites: *Asp*718/*Asc*I/*Pac*I/*Xba*I/*Bam*HI/*Swa*I/*Sse*8387(*Pst*I)/*Hind*III. A map of pCGN6205 is provided in Figure 2H.

15 (8) beta-Carotene Ketolase + Phytoene synthase

The *ssu crtW* plastid targeted fusion was cloned into the napin pCGN3223 seed expression cassette as a *Bgl* II -*Xho* I fragment to generate plasmid pCGN6202.

The plasmid pCGN6202 carrying the napin cassette with *ssu:crtW* was digested with *Not*I to excise a DNA fragment containing the napin cassette with *ssu:crtZ*. The resulting fragment was ligated into the *Not* I site of the binary pCGN9003 (described above) carrying the SSU:*crtB* napin construct. The resulting pCGN6204 (Figure 2G) is a binary vector for *Agrobacterium*-mediated transformation such as those described by McBride et al. (Plant Mol. Biol. (1990) 14:269-276) and is prepared from pCGN1559 by substitution of the pCGN1559 linker region with a linker region containing the following restriction digestion sites: *Asp*718/*Asc*I/*Pac*I/*Xba*I/*Bam*HI/*Swa*I/*Sse*8387(*Pst*I)/*Hind*III. A map of pCGN6204 is provided in Figure 2G.

25 (9) Phytoene synthase+ beta-Carotene hydroxylase+ beta-Carotene Ketolase

Construct pCGN6203 containing the napin cassette and ssu:crtZ was digested with Hind III to excise the fragment containing napin ssu:crtZ. The resulting HindIII fragment was cloned into the Hind III site of pCGN6204 to generate a triple crt genes binary pCGN6206 that contains napin ssu:crtB+ napin ssu:crtW+ napinssu:crtZ

5 (Figure 2I).

#### D. Plant Transformation

Transformed *Brassica napus* plants containing the above described constructs are obtained as described in Radke *et al.* (*Theor. Appl. Genet.* (1988) 75:685-694 and *Plant Cell Reports* (1992) 11:499-505).

10 Transformed cotton plants, *Gossypium hirsutum*, containing phytoene synthase may be obtained using methods described in issued U.S. patent No. 5,004,863, and 5,159,135, and in Umbeck *et al.* (1987) *Bio/Technology* 5:263-266, or as described in copending application 08/539,176.

15 Transgenic *Arabidopsis thaliana* plants containing phytoene synthase may be obtained by *Agrobacterium*-mediated transformation as described by Valverkens *et al.*, (*Proc. Nat. Acad. Sci.* (1988) 85:5536-5540), or as described by Bent *et al.* ((1994), *Science* 265:1856-1860), or Bechtold *et al.* ((1993), *C.R.Acad.Sci, Life Sciences* 316:1194-1199).

## 20 **Example 2 Analysis of Transgenic Plants**

### A. Visual Observations and Segregation Ratios

25 The napin-SSU leader/*crtB* plants in 212/86 were tagged at 21 days, 28 days and 35 days post anthesis. When the first plant, 3390-1 was harvested at 28 days, some of the seeds were obviously orange. AT 35dpa, the orange was obvious enough that a segregation ratio could be obtained. This trend of orange seeds has continued and is seen in each of the 17 lines harvested that have been obtained. A table of the segregation ratios is included below in Table 3.

TABLE 3

	Generation	Plant #	Orange	Green	Ratio	Chi Square
5	T2	3390-1	291	88	3 to 1	0.64
	T2	3390-2	150	22	No fit	
	T2	3390-8	293	87	3 to 1	0.90
	T2	3390-4	277	82	3 to 1	0.89
	T2	3390-5	243	62	3 to 1	1.90
10	T2	3390-7	236	89	3 to 1	0.99
	T2	3390-6	307	5	63 to 1	0.00
	T2	3390-3	121	50	No fit	1.64
	T2	3390-11	294	105	3 to 1	0.37
	T2	3390-15	287	83	3 to 1	1.30
15	T2	3390-16	187	65	3 to 1	0.08
	T2	3390-17	105	104	No fit	
	T2	3390-12	119	28	3 to 1	2.78
	T2	3390-14	283	107	3 to 1	1.23
	T2	3390-19	238	94	3 to 1	1.94
20	T2	3390-20	251	4	63 to 1	0.00
	T2	3390-27	229	4	63 to 1	0.04

#### B. Carotenoid Analysis of Developing Seeds

Carotenoids were extracted from seeds harvested at approximately 35 days post-anthesis as follows. Eight seed samples of orange seeds from transgenic plant 3390-1 and eight seed samples of a 212/86 variety rapeseed control plant were ground in 200µl of 70% acetone/30% methanol. The ground seed mixture was then spun in a microcentrifuge for approximately 5 minutes and the supernatant removed. Two additional 70% acetone/30% methanol extractions were conducted with the pelleted seed material and all three supernatants pooled and labeled A/M extract.

At this point in the extraction, the control seed pellets are white, whereas the seed pellets from the transgenic seeds have a yellow color. The pellets are then extracted twice with ether and the resultant supernatants pooled and labeled E extract. The A/M extract was then transferred to ether as follows. 450µl ether and 600µl of

water were added to the extracts, followed by removal of the ether layers. The A/M extracts were then washed two more time with 400 $\mu$ l of ether, and the ether fractions from the three A/M washes pooled. The E extracts described above were washed once with 400 $\mu$ l of water and pooled with the A/M ether fractions. The pooled ether fractions were blown down to a volume of approximately 300 $\mu$ l with nitrogen gas and filtered using a syringe microfilter. The sample vials were rinsed with approximately 100 $\mu$ l ether and the rinse was similarly filtered and pooled with the initial filtrate, yielding total volume of approximately 150 $\mu$ l. A 50 $\mu$ l aliquot was stored at -20 $^{\circ}$ C until further analysis and the remaining 100 $\mu$ l sample was saponified as follows.

100 $\mu$ l of 10% potassium hydroxide (KOH) in methanol was added to each 100 $\mu$ l sample and the mixture stored in the dark at room temperature for approximately 2 hours. 400 $\mu$ l of water was then added to the samples and the ether phase removed. For better phase separation, saturated NaCl may be substituted for the water. The water solution was then extracted twice more with 100 $\mu$ l of ether and the ether samples pooled and washed with water.

The saponified samples were then analyzed by HPLC analysis on a Rainin microsorb C18 column (25cm length, 4.6mm outside diameter) at a flow rate of 1.5ml per minute. The gradient used for elution is as follows:

- A = acetonitrile
- 20 B = hexane/methylene chloride (1:1)
- C = methanol.

The initial solution was 70:20:10 (A:B:C). At 2.5 minutes the solution is ramped over 5 minutes to 65:25:10 (A:B:C) and held at this for 12.5 minutes. The solution is then ramped to 70:20:10 (A:B:C) over two minutes followed by a three minute delay prior to injection of the next sample. The absorbance of the eluting samples is continuously monitored at 450 and 280 nm and known chemical and biological standards were used to identify the various absorbance peaks.

In Figures 3 and 4, results of analyses of saponified samples are provided for control and pCGN3390 transformed seeds, respectively. Clear increases in the levels of  $\alpha$ - and  $\beta$ -carotene and phytoene in the transgenic plant seeds are observed, as well as smaller increases in levels of the hydroxylated carotenoid, lutein.

5     C. Carotenoid and Tocopherol Analysis of Mature Seeds from *crtB* Transgenic Plants

Mature 3390 T2 seed were sent to an analytical laboratory for quantitative analysis using standard HPLC methods known in the art. These results of these analysis are shown in Table 4 below. Compound levels are presented as  $\mu\text{g/g}$ .

10     Seeds designated "Maroon" were selected based on seed color. The seeds which have orange embryos appear maroon colored at maturity as opposed to the black-brown appearance of seeds from wild type plants of this cultivar. Seeds designated as "Random" were not selected for color. As 3390-1 is segregating 3 to 1 for Kan, the "Random" population includes a proportion of nulls. The maroon population contains only transgenics. Due to an effort to exclude nulls from this  
15     population, the inclusion of homozygotes may be favored.

TABLE 4

	COMPOUND	CONTROL	3390-1 RANDOM	3390-1 MAROON
5	Lutein	7.2	18	26
	Zeaxanthin	nd*	nd	nd
	$\alpha$ -cryptoxanthin	nd	8	15
	$\beta$ -cryptoxanthin	nd	nd	nd
	Lycopene	nd	2.3	5.1
10	cis-Lycopene	nd	2.9	5.4
	$\alpha$ -carotene	0.6	124	244
	$\beta$ -carotene	0.9	177	338
	cis- $\beta$ -carotene	0.2	12	26
	Other	6	34	51
15	Total colored carotenoids	14.9	378.2	710.5
	Phytoene	nd	62	139
	Phytofluene	nd	24	54
	Total all carotenoids	14.9	464.2	903.5
20	Alpha-tocopherol	74	93	109
	Gamma-tocopherol	246	188	95
	Delta-tocopherol	3	5	5

\*nd = not detected

25

In the non-transgenic sample, "other" includes mostly very polar compounds, such as neoxanthin, violaxanthin, etc. In the transgenic sample "other" includes these and additional compounds, such as zeta-carotene, neurosporene, and mono-cyclic carotenoids.

30

Results of carotenoid analysis of 3390 T2 seeds from transformed plants of *B. napus* variety Quantum (SP30021) are presented in Figure 12.

Results of carotenoid analysis of 3390 T3 seeds from transformed plants of *B. napus* variety 212/86 (SP001) are presented in Figure 13.

The above results demonstrate that  $\alpha$ - and  $\beta$ -carotenes levels are significantly increased in the mature seeds as the result of expression of the *cr1B* gene. Generally, the overall increase in carotenoids is quite high, nearly 50 fold for colored carotenoids and up to 60 fold if phytoene and phytofluene are included. It is clear that the flux through the isoprenoid pathway has been dramatically increased. Additionally it is noted that the  $\alpha$ -tocopherol (Vitamin E) levels are also increased by nearly 50%.

#### D. Germination Studies

Ten mature seeds of 3390-1 and 10 seeds of 212/86 control were planted in soil and grown in a walk-in growth chamber. The transgenics emerged 1 to 2 days later than the controls, however, all 10 seeds did germinate. The transgenics were yellowish-pink when they first emerged but greened up in one to two days. At the emergence of the first true leaf, no difference in color was observed. Plants germinated from both the transgenic and control seeds developed normally.

#### E. Fatty Acid Analysis

Fatty acid composition of mature seeds was determined by GC analysis of single T2 seeds harvested from transgenic plants 3390-1 and 3390-8. Single seeds from both Random (R) and Maroon (M) populations (as defined above) were analyzed and compared to seeds from a 212/86 control (SP001-1). The results of these analyses are provided in Table 5 below as weight % total fatty acids.

**TABLE 5**  
**FATTY ACID COMPOSITION OF 3390-1 AND 3390-8 LINES**

SAMPLE	10:0	12:0	14:0	16:0	16:1	18:0	18:1	18:2	18:3	20:0	20:1	20:2	22:0
CONTROL	1.5	0	0.1	5.1	0.4	1.7	59.9	17.1	12.0	0.6	1.2	0.1	0.3
CONTROL	1.8	0	0.1	5.1	0.4	1.7	60.1	16.6	12.1	0.6	1.2	0.1	0.3
CONTROL	2.0	0	0.1	5.0	0.4	1.6	60.5	16.2	12.0	0.6	1.2	0.1	0.3
CONTROL	2.2	0	0.1	5.2	0.4	1.6	57.2	18.2	12.7	0.6	1.3	0.1	0.4
CONTROL	1.6	0	0.1	4.7	0.4	1.8	62.7	15.3	11.3	0.6	1.2	0.1	0.3
3390-1-R	2.8	0	0.1	4.8	0.5	3.6	69.9	10.6	4.8	1.2	1.1	0.0	0.6
3390-1-R*	1.5	0	0.1	4.7	0.3	1.5	58.1	19.3	12.3	0.5	1.2	0.1	0.3
3390-1-R	3.5	0	0.1	4.2	0.3	2.6	71.1	9.6	5.8	1.0	1.2	0.0	0.6
3390-1-R*	1.5	0	0.1	4.7	0.3	1.9	61.0	17.8	10.4	0.7	1.3	0.1	0.3
3390-1-R	2.2	0	0.1	4.4	0.3	3.1	73.6	8.9	4.4	1.2	1.1	0.0	0.7
3390-1-R	1.9	0	0.1	4.5	0.3	2.4	72.7	10.6	4.7	0.9	1.3	0.1	0.6
3390-1-R	2.5	0	0.1	4.2	0.3	3.4	71.7	10.0	5.1	1.1	1.0	0.0	0.6
3390-1-R	1.7	0	0.1	4.4	0.3	2.6	73.5	10.0	4.5	1.0	1.2	0.1	0.6
3390-1-R	1.9	0	0.1	4.2	0.3	2.3	72.4	9.9	6.3	0.9	1.2	0.1	0.5
3390-1-R	2.5	0	0.1	4.2	0.3	2.7	72.0	10.1	5.1	1.0	1.2	0.1	0.6
3390-1-R*	1.5	0	0.1	4.7	0.3	1.7	58.5	18.5	12.6	0.6	1.2	0.1	0.3
3390-1-R	2.8	0	0.1	4.6	0.4	3.7	71.8	9.1	4.2	1.3	1.2	0.0	0.7
3390-1-R	1.8	0	0.1	4.0	0.3	2.3	72.4	11.1	5.2	0.9	1.3	0.1	0.5
3390-1-R	1.7	0	0.1	4.4	0.3	2.7	73.9	9.9	4.2	1.0	1.2	0.1	0.6
3390-1-R	1.7	0	0.1	4.6	0.4	2.6	71.4	10.9	5.5	1.0	1.3	0.1	0.6
3390-1-R	2.7	0	0.1	4.2	0.3	2.8	72.1	9.9	5.0	1.1	1.3	0.0	0.6
3390-1-R	2.0	0	0.1	4.5	0.3	3.0	72.5	9.7	4.6	1.2	1.3	0.1	0.7
3390-1-R	1.8	0	0.1	4.9	0.4	3.4	71.8	10.4	4.2	1.2	1.2	0.0	0.7
3390-1-R*	0.9	0	0.1	4.5	0.3	1.7	55.9	18.8	15.6	0.5	1.3	0.1	0.3
3390-1-R*	1.4	0	0.1	4.8	0.4	1.7	57.1	18.0	14.4	0.6	1.2	0.1	0.3
3390-1-R*	1.4	0	0.1	4.5	0.3	1.7	57.8	18.5	13.5	0.6	1.3	0.1	0.3
3390-1-R	2.2	0	0.1	4.5	0.3	2.5	73.4	9.7	4.6	0.9	1.2	0.0	0.5
3390-1-R	1.5	0	0.1	3.8	0.3	2.7	75.9	8.1	4.6	1.0	1.4	0.0	0.6
3390-1-R	1.6	0	0.1	4.5	0.3	2.6	71.9	10.6	5.5	1.0	1.3	0.1	0.6
3390-1-R*	1.3	0	0.1	6.2	0.5	1.4	53.6	21.7	13.2	0.5	1.1	0.1	0.3
3390-1-R	2.1	0	0.1	4.3	0.3	2.4	72.3	10.7	5.1	0.9	1.2	0.0	0.6
3390-1-R*	1.3	0	0.1	5.0	0.3	1.6	57.8	18.8	13.0	0.5	1.3	0.1	0.3
3390-1-R	2.1	0	0.1	4.4	0.3	3.3	72.7	9.2	4.8	1.2	1.2	0.0	0.7
3390-1-R	1.5	0	0.1	4.5	0.3	3.3	72.6	10.1	4.6	1.2	1.1	0.1	0.7
3390-1-R*	1.2	0	0.1	4.7	0.3	1.9	59.9	17.1	12.6	0.6	1.3	0.1	0.4
3390-1-M	2.8	0	0.1	4.0	0.3	2.8	69.8	10.6	7.1	0.9	1.2	0.0	0.4
3390-1-M	2.0	0	0.1	4.9	0.4	3.3	70.3	11.1	4.9	1.2	1.2	0.1	0.7
3390-1-M	1.5	0	0.1	4.4	0.3	3.2	73.4	9.5	4.3	1.3	1.3	0.0	0.8
3390-1-M	1.5	0	0.1	4.5	0.3	2.8	72.7	10.0	5.1	1.1	1.3	0.0	0.7
3390-1-M	1.8	0	0.1	4.2	0.3	3.1	73.5	9.6	4.7	1.1	1.2	0.0	0.6
3390-1-M	1.5	0	0.1	4.7	0.3	2.9	71.6	10.7	5.1	1.1	1.2	0.1	0.7
3390-1-M	1.5	0	0.1	4.5	0.3	3.2	72.6	10.2	4.3	1.2	1.3	0.0	0.8
3390-1-M	1.8	0	0.1	4.4	0.3	2.9	72.0	10.4	5.2	1.1	1.2	0.1	0.6
3390-1-M	1.5	0	0.1	4.4	0.3	2.6	73.6	10.0	4.5	1.1	1.2	0.1	0.7
3390-1-M	2.3	0	0.1	4.3	0.3	3.0	73.0	9.7	4.5	1.1	1.2	0.0	0.6

SAMPLE	10:0	12:0	14:0	16:0	16:1	18:0	18:1	18:2	18:3	20:0	20:1	20:2	22:0
3390-8-R*	1.0	0	0.1	4.9	0.3	1.6	59.2	18.9	11.9	0.5	1.2	0.1	0.3
3390-8-R	2.1	0	0.1	4.2	0.3	2.7	71.9	10.2	5.6	1.0	1.2	0.1	0.6
3390-8-R	1.5	0	0.1	4.4	0.3	2.3	72.5	10.4	5.7	0.9	1.4	0.1	0.6
3390-8-R*	1.2	0	0.1	4.9	0.3	1.7	59.7	18.2	11.6	0.6	1.3	0.1	0.4
3390-8-R*	1.5	0	0.1	4.7	0.3	1.6	58.7	18.5	12.2	0.6	1.3	0.1	0.4
3390-8-R	1.8	0	0.1	4.2	0.3	2.9	73.4	9.2	5.2	1.1	1.3	0.0	0.6
3390-8-R*	1.1	0	0.1	4.7	0.3	1.5	56.9	19.3	14.1	0.5	1.1	0.1	0.2
3390-8-R	2.2	0	0.1	4.6	0.3	3.0	71.4	10.0	5.2	1.1	1.2	0.1	0.7
3390-8-R	1.7	0	0.1	4.6	0.4	2.4	72.5	11.0	4.8	0.9	1.3	0.1	0.5
3390-8-R	2.4	0	0.1	4.7	0.3	2.9	74.0	8.4	4.0	1.1	1.2	0.0	0.7
3390-8-R	1.9	0	0.1	4.6	0.4	3.0	72.7	9.7	4.8	1.0	1.2	0.0	0.6
3390-8-R	2.0	0	0.1	4.4	0.3	2.8	73.2	9.7	4.5	1.0	1.3	0.0	0.6
3390-8-R	1.5	0	0.1	4.3	0.3	2.6	71.8	10.7	5.8	1.0	1.3	0.1	0.6
3390-8-R	1.5	0	0.1	4.4	0.3	2.7	72.6	10.5	4.9	1.0	1.3	0.1	0.6
3390-8-R	2.0	0	0.1	4.9	0.4	3.3	71.1	10.4	4.9	1.1	1.1	0.1	0.6
3390-8-R	2.1	0	0.0	4.5	0.4	3.6	73.0	8.8	4.3	1.3	1.2	0.0	0.7
3390-8-R	2.2	0	0.1	5.1	0.4	2.9	67.6	12.3	6.5	1.1	1.2	0.1	0.7
3390-8-R	1.8	0	0.1	4.2	0.3	2.6	73.5	9.9	4.8	1.0	1.3	0.1	0.6
3390-8-R	1.7	0	0.1	4.7	0.3	3.0	72.5	9.9	4.6	1.2	1.3	0.1	0.7
3390-8-R	1.7	0	0.1	4.6	0.4	2.8	73.7	9.5	4.1	1.1	1.3	0.1	0.7
3390-8-R	1.5	0	0.1	4.5	0.3	3.0	74.7	8.5	4.2	1.2	1.2	0.0	0.7
3390-8-R	1.5	0	0.1	4.4	0.4	1.9	70.0	11.8	7.2	0.8	1.4	0.1	0.5
3390-8-R	1.7	0	0.1	4.4	0.3	2.5	71.8	11.1	5.2	1.0	1.3	0.1	0.6
3390-8-R	1.4	0	0.1	4.5	0.4	2.8	73.3	9.7	4.9	1.1	1.2	0.1	0.6
3390-8-R	1.5	0	0.1	4.8	0.4	3.0	72.6	10.6	4.1	1.1	1.2	0.1	0.7
3390-8-R*	1.4	0	0.1	5.8	0.4	2.9	54.0	20.0	13.0	0.8	1.1	0.1	0.4
3390-8-R	1.4	0	0.1	4.4	0.3	2.7	71.2	10.8	6.0	1.0	1.3	0.1	0.6
3390-8-R	1.7	0	0.1	4.6	0.4	2.8	72.6	10.0	5.1	1.0	1.2	0.1	0.6
3390-8-R*	1.0	0	0.1	4.6	0.3	1.6	59.6	18.5	12.3	0.5	1.2	0.1	0.3
3390-8-R*	1.1	0	0.1	4.6	0.3	1.4	56.5	20.4	13.4	0.5	1.3	0.1	0.3
3390-8-M	1.8	0	0.1	4.7	0.4	3.3	70.1	11.1	5.5	1.2	1.1	0.1	0.7
3390-8-M	1.5	0	0.1	4.3	0.3	3.0	73.0	10.3	4.3	1.1	1.2	0.1	0.7
3390-8-M	1.9	0	0.1	4.5	0.4	3.7	73.1	8.9	4.2	1.3	1.2	0.0	0.7
3390-8-M	1.6	0	0.1	4.4	0.3	2.5	73.4	9.7	5.1	1.0	1.3	0.1	0.7
3390-8-M	1.3	0	0.1	4.4	0.3	3.0	73.7	9.6	4.4	1.1	1.3	0.0	0.7
3390-8-M	2.1	0	0.1	4.3	0.3	3.2	74.0	8.9	4.1	1.2	1.2	0.1	0.6
3390-8-M	2.1	0	0.1	3.9	0.3	1.6	71.6	11.9	5.7	0.7	1.5	0.1	0.5
3390-8-M	1.6	0	0.1	4.6	0.3	2.8	71.0	11.8	4.8	1.0	1.3	0.1	0.6
3390-8-M	2.1	0	0.1	4.8	0.4	3.2	70.3	10.7	5.2	1.2	1.2	0.1	0.7
3390-8-M	1.6	0	0.1	4.5	0.3	2.9	72.7	9.9	4.8	1.1	1.3	0.0	0.7

The above data demonstrate a substantial increase in oleic acid (18:1) in seeds from each of the transgenic lines. The increase in oleic acid is at the expense of linoleic and linolenic acids, both of which were decreased in the transgenic lines. Increases in 18:0 and 20:0 fatty acids were also observed. Based on these data, the null seeds present in the Random population can be identified, and are marked on Table 5 with an asterisk (\*). All of the seeds in the Maroon populations from each transgenic line have the observed altered fatty acid composition, confirming that the altered fatty acid composition is the result of expression of the *crtB* gene.

The trends in fatty acid composition data in the transgenic seeds which indicate positive and negative correlations of fatty acid composition changes with the observed increase in 18:1 levels are provided in Figures 5-7. The increase in 18:1 correlates with the decreases in 18:2 and 18:3. (Figure 5). The increase in 18:1 also correlates with an increase in both 18:0 and 20:0, but little effect on 16:0 was seen (Figure 6). The increase in 18:0 also correlated with an increase in 20:0 (Figure 7).

#### F. Carotenoid Analysis of Mature Seeds from *crtE* Transgenic Plants

Carotenoids were analyzed in mature T2 seeds of 3392 *B. napus* plants transformed to express the *E. uredoovora crtE* gene. Approximately two fold increases in levels of lutein and  $\beta$ -carotene was observed in seeds of plant 3392-SP30021-16. Lycopene was also detected in these seeds and is undetectable in seeds of untransformed control plants. Analysis of seeds from 7 additional 3392 transformants did not reveal significant increases in the carotenoid levels.

#### G. Analysis of Chlorophyll and Tocopherol Levels in *crtE* Transgenic Plants

Chlorophyll levels were analyzed using a spectrophotometric assay (Bruinsma, J. 1961, A comment on the spectrophotometric determination of chlorophyll, Biochem Biophys Acta, 52:576-578) in mature T2 seeds of transgenic 3392 *B. napus* plants. Levels in 3392 transgenic plants were compared to seeds of transgenic *B. napus* plants expressing phytoene synthase (*crtB*) and to nontransformed control plants. Results are shown in Table 6 below.

TABLE 6

Gene and sample	Pigment concentration ( $\mu\text{g/gFW}$ )	
	Total carotenoids	Total chlorophyll
Phytoene synthase		
27 DPA SP001 control	53	676
27 DPA T4 3390-1-6	354	282
40 DPA SP001 control	47	471
40 DPA T4 3390-1-6	534	179
50 DPA SP001 control	16	125
50 DPA T4 3390-1-6	648	125
GGPP synthase		
35 DPA SP30021 control	68	407
35 DPA T2 3392-4	65	660
35 DPA T2 3392-16	73	648
Mature SP30021 control	21	35
Mature T2 3392-4	25	31
Mature T2 3392-16	50	60

Chlorophyll concentrations of the 35 DPA seeds of two lines were increased by approximately 60% compared to the levels of the control plant. The initial results demonstrate that the GGPP synthase gene increased the GGPP substrate availability for chlorophyll biosynthesis during seed development. Mature seeds of the 3392-16 line had higher chlorophyll and carotenoid concentrations than those of the control.

#### H. Carotenoid Analysis of Mature Seeds from *crtI* Transgenic Plants.

Carotenoids were analyzed in mature T2 seeds of 9010 *B. napus* plants transformed to express the antisense lycopene  $\epsilon$ -cyclase gene. Seeds of nine transgenic plants were analyzed for carotenoid content. An approximately two fold increase in levels of lutein,  $\beta$ -carotene and total carotenoids was observed in seeds of one line, 9010-SP30021-10, when compared to control plants.

### I. Carotenoid Analysis of Mature Seeds from *crtB* + *crtI* Transgenic Plants

Carotenoid levels of Mature 9009 T2 seeds were extracted and quantified on an HPLC as follows. Approximately 100mg of seeds were ground in a mortar and pestle in 3ml extraction solvent ( hexane/acetone/ethanol ( 50/25/25 v/v) with 0.2ml of an internal standard ( 5mg/ml  $\beta$ -apo-8' carotenal ( dissolved in 100 $\mu$ l hexane), in acetonitrile/methylene chloride/methanol ( 50/40/10, v/v)). The extraction solution was transferred to a new glass tube, and the remaining seed was again extracted with the extraction solvent and pooled with first extraction solution. The extraction was repeated until no color was visible in the extraction solution. Pooled extracts were mixed by vortexing briefly, then centrifuged for approximately 5 minutes. The resulting supernatant was transferred to a new tube and dried under nitrogen gas. The residue was resuspended in 2ml of hexane. Potassium hydroxide, in methanol, was added to a final concentration of 5%, and the solution was incubated overnight in the dark at 4°C. Another 2ml of hexane was then added to the solution with 1ml of saturated sodium chloride. The solution was mixed briefly by vortexing and centrifuged for approximately 5 minutes. The upper hexane layer was removed and transferred to a new glass tube. The remaining bottom phase was again extracted with hexane and centrifuged. The upper phase was combined with the previous hexane phase. This was repeated until the hexane phase was colorless. The pooled hexane phases were dried under nitrogen gas, and the residue was dissolved in 2.0ml of acetonitrile/methylene chloride/methanol ( 50/40/10 v/v). The solution was filtered through a 0.45 $\mu$ m filter and collected in a brown autopsampler vial. Carotenoid concentrations were determined on a Hewlett Packard 1050 High-Performance Liquid Chromatograph (HPLC), and isocratic separation of carotenoids was performed on a Hewlett Packard reverse phase C-18 ( 5 $\mu$ ) column (4.6 mm x 20cm) at 30°C. The mobile phase was acetonitrile/ methylene chloride/ methanol (80/10/10, v/v) with a flow rate of 1.0ml/min and a sample injection volume of 20 $\mu$ l (running time of 22min). Routine detection of colored carotenoids is at 450 nm, phytoene at 280 nm,

and phytofluene at 365 nm. Spectral scans for peak purity were made at 250 nm and 600 nm. Spectra of peaks at the upslope, apex, and downslope are normalized and overlaid. Superimposing spectra were taken as evidence of peak purity. The results are shown in Table 7 below. Carotenoid levels are presented as µg/gFW.

5

TABLE 7

10	Sample ID #	Lutein	Lycopene	α-Carotene	β-Carotene	Phytoene	Total
	SP30021 control	36	ND	ND	4	ND	40
	3390-SP001-1-6-15						
	(T5 Homo)	54	4	552	638	277	1525
15	9009-SP30021-1	44	44	336	691	42	1157
	9009-SP30021-6	53	87	689	1118	152	2099
	9009-SP30021-9	48	34	487	798	194	1561
	9009-SP30021-10	33	25	248	489	34	829
	9009-SP30021-12	31	ND	ND	2	ND	33
20	9009-SP30021-14	42	37	404	791	81	1355
	9009-SP30021-15	37	15	137	278	ND	467
	9009-SP30021-16	50	38	428	828	65	1409

The results demonstrate that as with plants transformed to express *crtB* alone, plants expressing *crtB* and *crtI* contain significant increases in total carotenoid levels. Furthermore, it is apparent that expression of *crtI* with *crtB*, leads to further modification of the phytoene pools which accumulate in *crtB* transformants. Phytoene levels were reduced from about 20% of total carotenoids in lines transformed with *crtB* alone, to 4% to 7% of total carotenoids in the *crtB* + *crtI* lines. This indicates that phytoene desaturase can have a synergistic effect with phytoene synthase in increasing the metabolic flux through the carotenoid/ isoprenoid pathway, and provides for even greater increases in a desired carotenoid compound, such as α-carotene and β-carotene, than is obtained by expression of *crtB* alone. The increased flux also appears to result in increased total carotenoid production, in addition to the composition shift from phytoene. For example, the carotenoid levels in the

35

segregating T2 seed populations of 9009-10 are significantly higher than those detected in the 3390 homozygous seed population in 3390-1-6-15.

J. Carotenoid Analysis of mature Seeds from *crtB* + Antisense  $\epsilon$ -Cyclase Transgenic Plants

Carotenoids from mature seeds from 9002 transformants were extracted and analyzed using the method described in example 2I above. These results are shown in Figure 14.

The initial results show a modification to the ratio of  $\beta$ -carotene to  $\alpha$ -carotene. In addition, several lines show a significant reduction in lutein levels when compared to nontransgenic controls. In 9002 T2 lines,  $\beta$ -carotene to  $\alpha$ -carotene ratios averaged 1.5, ranging from 1.1 to 2.5. For comparison, T2 3390 lines containing *crtB*, the ratio of  $\beta$ -carotene to  $\alpha$ -carotene averaged 1.9, ranging from 1.5 to 2.4.

K. Carotenoid Analysis of Mature Seeds from *crtB* Transgenic Cotton Plants

Mature 3390 T2 seeds from cotton were collected and carotenoid extracts were prepared and analyzed according to the method described in 2I above. These results are shown in Table 8 below. Carotenoid levels are presented as  $\mu\text{g/gFW}$ .

TABLE 8

Sample ID #	Lutein	Lycopene	$\alpha$ -Carotene	$\beta$ -Carotene	Phytoene	Total
C130 control	2	ND	ND	ND	ND	2
3390-C130-5-1	7	ND	486	420	517	

An approximately 3 fold increase in lutein was observed in seeds of plant 3390-C130-5-1. Alpha-carotene,  $\beta$ -carotene and phytoene were also observed in this line and are undetectable in nontransformed control plants. With  $\beta$ -carotenoid levels being 20 fold higher than those of  $\alpha$ -carotene. Total carotenoid levels were increased by more than 250 fold, with phytoene accounting for approximately 80% of that total.

### L. Carotenoid Analysis of Mature Seed from *crtB* + *crtW* Transgenic Plants

Carotenoid levels of Mature 6204 T2 seeds were extracted and quantified on an HPLC as follows. Approximately 100mg of seeds were ground in a mortar and pestle in 3ml extraction solvent ( hexane/acetone/ethanol ( 50/25/25 v/v) with 0.3ml of an internal standard ( 5mg/ml  $\beta$ -apo-8' carotenal ( dissolved in 100 $\mu$ l hexane), in acetonitrile/methylene chloride/methanol ( 50/40/10, v/v/)). The extraction solution was transferred to a new glass tube, and the remaining seed was again extracted with the 2 ml extraction solvent and pooled with first extraction solution. The extraction was repeated until no color was visible in the extraction solution. Pooled extracts were mixed by vortexing briefly, then centrifuged for approximately 5 minutes. The resulting supernatant was transferred to a new tube and dried under nitrogen gas. The dried sample was stored in the dark overnight at 4°C. The residue was resuspended in 3ml of hexane and 1 ml methanol, and 1 ml of saturated sodium chloride was added and mixed. The samples were centrifuged briefly, and the upper phase was transferred to a new tube. The remaining bottom phase was again extracted with 2 ml hexane and centrifuged. The upper phase was combined with the previous hexane phase. This was repeated until the hexane phase was colorless. The pooled hexane phases were dried under nitrogen gas, and the residue was dissolved in 2.0ml of acetonitrile/methylene chloride/methanol ( 50/40/10 v/v). The solution was filtered through a 0.45 $\mu$ m filter and collected in a brown autopsampler vial. Carotenoid concentrations were determined on a Hewlett Packard 1100 High-Performance Liquid Chromatograph (HPLC), and isocratic separation of carotenoids was performed on a Spherisorb ODS2 reverse phase C-18 ( 5 $\mu$ ) column (4.6 mm x 25cm) at 30°C. The mobile phase was 82 acetonitrile/ 10 dioxane /8 methanol (v/v) containing 150 mM ammonium acetate/ 0.1 triethylamine, with a flow rate of 1.0ml/min and a sample injection volume of 20 $\mu$ l (running time of 46 min). Routine detection of colored carotenoids is at 450 nm, phytoene at 280 nm, and phytofluene at 365 nm. Spectral

scans for peak purity were made at 250 nm and 600 nm. Spectra of peaks at the upslope, apex, and downslope are normalized and overlaid. Superimposing spectra were taken as evidence of peak purity. The results are shown in Table 10 below, and an HPLC chromatogram is shown in Figure 17. Table 9 below describes the relevant peak retention times shown in Figure 17. Carotenoid levels are presented as  $\mu\text{g/gFW}$ .

**Table 9.**

Ret Time [min]	Area [mAU*s]	Amt/Area	Amount [ug/gFW]	Compound Name
3.500				Astaxanthin
5.428	721.34	$4.3 \times 10^{-3}$	59.33	Lutein
5.831	169.38	$4.26 \times 10^{-3}$	13.81	Zeaxanthin
6.533	527.83	$4.45 \times 10^{-3}$	44.88	Canthaxanthin
7.651	553.82	$3.59 \times 10^{-3}$	38.02	Internal Std
14.403				Echinenone
18.453	68.21	$7.02 \times 10^{-3}$	9.16	Lycopene
22.278				Neurosporene
31.363	2966.38	$3.52 \times 10^{-3}$	199.36	$\alpha$ -carotene
33.870	2854.27	$3.86 \times 10^{-3}$	210.64	$\beta$ -carotene
44.166	524.14	$1.59 \times 10^{-2}$	158.86	Phytoene
Totals:			734.05	

**Table 10.** Carotenoid concentrations of canola seeds from selected T2 6204-SP30021 lines.

Sample ID	Segreg ratio	Carotenoid Concentration ( $\mu\text{g/g}$ FWt. )						Total
		Lutein	Canth	Lycopene	a-Carotene	b-Carotene	Phytoene	
SP30021	homo	21	ND	ND	ND	ND	ND	21
3390-SP30021-12	homo	44	ND	9	416	578	279	1326
6204-SP30021-1	3:1	41	22	9	223	252	192	744
6204-SP30021-2	15:1	43	24	9	231	283	236	831
6204-SP30021-3	3:1	51	8	6	165	268	65	568
6204-SP30021-5	63:1	56	25	11	292	296	305	992
6204-SP30021-6	no fit	61	47	9	206	218	165	720
6204-SP30021-7	3:1	41	13	8	180	232	160	634
6204-SP30021-8	3:1	41	16	6	68	108	54	299
6204-SP30021-9	>63:1	57	39	10	233	245	245	837
6204-SP30021-10	no fit	33	9	7	165	24	103	343
6204-SP30021-11	3:1	39	7	9	198	266	145	662
6204-SP30021-12	15:1	40	15	10	212	281	172	734
6204-SP30021-13	15:1	52	44	9	207	223	247	788
6204-SP30021-15	no fit	54	20	8	205	291	160	738
6204-SP30021-21	3:1	44	11	8	142	216	126	551
6204-SP30021-24	3:1	47	9	9	149	202	89	509
6204-SP30021-25	15:1	37	33	8	235	257	243	819
6204-SP30021-28	15:1	46	11	9	225	288	123	707
6204-SP30021-29	null	18	ND	ND	ND	ND	ND	18
6204-SP30021-30	3:1	33	11	8	203	246	154	659
6204-SP30021-36	15:1	31	17	7	197	275	95	628
6204-SP30021-37	3:1	38	10	7	137	181	117	490
6204-SP30021-41	3:1	42	9	8	250	339	170	821
6204-SP30021-42	3:1	32	4	6	178	216	103	539
6204-SP30021-43	15:1	54	25	10	242	304	151	792
6204-SP30021-44	no fit	48	27	7	226	249	129	692

The initial results demonstrate that as with plants transformed to express *crtB* alone, plants expressing *crtB* and *crtW* contain significant increases in total carotenoid levels. Furthermore, the results show an increase in the levels of canthaxanthin, when compared to the levels obtained from seeds of plants transformed with *crtB* alone, as well as nontransformed control plants. In addition, other products were also produced in plants expressing *crtB* and *crtW*. Increased levels of

echineone, a reaction intermediate, as well as a putative 4-keto- $\alpha$ -carotene (Figure 17).

#### M. Carotenoid Analysis of Mature Seeds from *crtB* Transgenic *Arabidopsis* Plants

Mature 3390 T2 seeds from *Arabidopsis* were collected and carotenoid extracts were prepared and analyzed according to the method described in 21 above. These results are shown in Table 11 below. Carotenoid levels are presented as  $\mu\text{g/gFW}$ .

**Table 11.** Carotenoids of T2 *Arabidopsis* seeds transformed with *crtB*.

Sample ID	Date of Harvest	Carotenoid concentration ( $\mu\text{g/g FWt.}$ )					
		Lutein	Lycopene	$\alpha$ -Carotene	$\beta$ -Carotene	Phytoene	Total
AT001-50 VAR	6/4/98	18	ND	ND	2	ND	20
3390-AT001-1	6/4/98	24	ND	7	20	7	58
3390-AT001-2	6/17/98	57	5	68	139	98	368

Initial results indicate that seeds from one line of *Arabidopsis* transformed with napin-*crtB* had an 18-fold increase in total carotenoid concentration. This line also demonstrate an approximately 70 fold increase in  $\beta$ -carotene levels (Table 11).

### **Example 3 Crosses of *crtB* Plants**

#### **A. Transgenic Oil Traits**

To evaluate the high oleic trait of the napin-*crtB* transgenic plants in conjunction with expression of other oils traits, crosses off 3390-1-6-8 with a mangosteen thioesterase (5266) and a nutmeg thioesterase (3854; see WO 96/23892) were made. Crosses were also made with two low linoleic (LPOO4 and LP30108) varieties. Half-seed analyses of carotenoids and fatty acid composition were conducted on the segregating seeds, and the average of the half seed values are shown below in Tables 12 and 13.

TABLE 12

Carotenoid Levels in Half Seeds Resulting from 3390 Crosses

	Cross	Lutein	Lycopene	$\alpha$ -Carotene	$\beta$ -Carotene	Total
5	F1 3390-SP001-1-6-8 x SP30021	21.6	26.2	271.5	413.1	732.4
	F1 3390-SP001-1-6-8 x 5266-SP30021-5-26	18.0	21.7	187.9	284.1	511.7
	F1 3390-SP001-1-6-8 x 5266-SP30021-35-2	16.2	22.1	223.0	318.4	579.7
	F1 3390-SP001-1-6-8 x 5266-SP30021-35-12	19.5	22.9	196.8	312.8	552.0
10	F1 3390-SP001-1-6-8 x LP30108-19	23.7	22.7	213.4	355.0	614.8
	F1 LP30108-19 x F1 3390-SP001-1-6-8	16.4	19.6	156.7	224.5	417.2

TABLE 13

Fatty Acid Composition in Half Seeds Resulting from 3390 Crosses

15	STRAIN_ID	%14:0	%16:0	%18:0	%18:1	%18:2	%18:3	%20:0
	(3390-SP001-1-6-8 X SP30021)	0.05	3.55	1.70	74.78	11.29	5.71	0.73
	(3390-SP001-1-6-8 X 5266-SP30021-35-12)	0.06	3.84	11.37	62.86	11.06	5.08	3.38
	(3390-SP001-1-6-8 X 5266-SP30021-35-2)	0.06	3.68	11.27	64.80	9.81	5.16	3.04
	3390-SPOO1-1-6-8 X 5266-SP30021-5-26	0.06	3.66	15.36	60.78	9.30	4.77	3.87
	(3390-SP001-1-6-1 X 3854-SP30021-20-3)	2.69	9.80	3.65	64.62	9.72	4.57	1.51
	(3390-SP001-1-6-1 X 3854-SP30021-20-1)	6.14	16.35	5.12	54.91	8.23	4.23	2.03
	(3390-SP001-1-6-1 X 5266-LP004-2-31)	0.07	3.82	11.67	64.52	11.46	3.14	3.08
	(3390-SP001-1-6-8 X LP30108-19)	0.05	3.80	1.44	73.66	14.02	3.93	0.67
	(LP30108-19 X 3390-SP001-1-6-8)	0.04	3.31	1.79	79.69	9.26	2.97	0.75
	SPOO1-4-10	0.07	4.44	0.99	56.06	21.79	14.31	0.44
	3390-SPOO1-1-6-8	0.04	3.46	1.44	77.26	9.30	5.71	0.63

As the above results demonstrate, a dramatic increase (100 to 200 fold) in  $\alpha$ - and  $\beta$ -carotene as well as a 60 fold increase in total carotenoids may be obtained by transformation of plants for expression of an early carotenoid biosynthesis gene under the regulatory control of promoter preferentially expressed in plant seed tissue. This  
5 increase in flux primes the pathway for the production of speciality products as described above, and also results in increased production of  $\alpha$ -tocopherol (Vitamin E).

Furthermore, it is evident that the fatty acid composition can also be altered in the transgenic plant seeds. In this manner, seeds can be used to produce novel products, to provide for production of particular carotenoids, to provide high oleic  
10 oils, and the like.

All publications and patent applications mentioned in the specification are indicative of the level of skill of those skilled in the art to which this invention pertains. All publications and patent applications are herein incorporated by reference  
15 to the same extent as if each individual publication or patent application was specifically and individually indicated to be incorporated by reference.

Although the foregoing invention has been described in some detail by way of illustration and example for purposes of clarity of understanding, it will be obvious that certain changes and modifications may be practiced within the scope of the  
20 appended claims.

## IN THE CLAIMS

What is claimed is:

1. A method for altering xanthophyll content in seed of a host plant, said method comprising the steps of  
transforming cells of a host plant with a construct comprising as operably linked components, a transcriptional initiation region from a gene preferentially expressed in a plant seed, a plastid transit peptide, a DNA sequence derived from a carotenoid biosynthesis gene coding region, and a transcriptional termination region,  
producing a transformed host plant from said transformed cells, and  
growing said transformed host plant or progeny thereof containing said construct under conditions whereby seed is produced having an altered xanthophyll content.
2. The method of Claim 1 whereby a novel xanthophyll is produced in said seed to effect said alteration.
3. The method of Claim 1 whereby the level of at least one xanthophyll produced in said seed is increased to effect said alteration.
4. The method of Claim 1 whereby the level of at least one xanthophyll produced in said seed is decreased to effect said alteration.
5. The method of Claim 1, wherein said DNA sequence reduces the expression of a carotenoid biosynthesis gene native to said host plant by antisense or cosuppression.
6. The method of Claim 5, wherein said carotenoid biosynthesis gene is lycopene  $\epsilon$ -cyclase.
7. The method of Claim 1, whereby said alteration of xanthophyll content is effected by the action of a protein expressed from said carotenoid biosynthesis gene DNA sequence on at least one carotenoid substrate present in said plant seed.
8. The method of Claim 7, wherein said carotenoid substrate is selected from the group consisting of  $\alpha$ -carotene,  $\beta$ -carotene,  $\gamma$ -carotene,  $\delta$ -carotene, zeaxanthin, canthaxanthin, echinenone, hydroxycanthaxanthin,  $\beta$ -cryptoxanthin, adonixanthin,  $\alpha$ -cryptoxanthin, and astaxanthin.
9. The method of Claim 1, wherein said carotenoid biosynthesis gene is selected from the group consisting of phytoene synthase, phytoene desaturase,  $\beta$ -carotene hydroxylase, lycopene  $\beta$ -cyclase, and  $\beta$ -carotene ketolase.

10. The method of Claim 1, wherein said carotenoid biosynthesis gene is not native to said host plant.
11. The method of Claim 1, wherein said carotenoid biosynthesis gene is from a procaryote.
12. The method of Claim 1, wherein said host plant is an oilseed *Brassica* plant.
13. The method of Claim 1, wherein said host plant is cotton.
14. The method of Claim 1, wherein said transcriptional initiation region is from a gene preferentially expressed in *Brassica* seed tissue.
15. The method of Claim 14, wherein said transcriptional initiation region is from a napin gene.
16. A transformed host plant having altered xanthophyll content in seed and produced according to the method of Claim 1.
17. Seed of a transformed host plant according to Claim 15.
18. A method for producing an increased level of xanthophyll in a seed from a host plant, said method comprising transforming said host plant with 1) an expression cassette comprising as operably linked components, a transcriptional initiation region from a gene preferentially expressed in a plant seed, a plastid transit peptide, a DNA sequence derived from a first carotenoid biosynthesis gene coding region, and a transcriptional termination region, and 2) an expression cassette comprising as operably linked components, a transcriptional initiation region from a gene preferentially expressed in a plant seed, a plastid transit peptide, a DNA sequence derived from a second carotenoid biosynthesis gene coding region, and a transcriptional termination region, and wherein said first and second genes are carotenoid biosynthesis genes selected from the group consisting of phytoene synthase,  $\beta$ -carotene hydroxylase, and  $\beta$ -carotene ketolase.
19. The method of Claim 18, wherein said first and second carotenoid biosynthesis genes encode  $\beta$ -carotene hydroxylase and  $\beta$ -carotene ketolase.
20. The method of either Claim 19, wherein astaxanthin content in said seed are increased.
21. The method according to Claim 18 further comprising transforming said host plant with 3) an expression cassette comprising as operably linked components, a transcriptional initiation region from a gene preferentially expressed in a plant seed, a

plastid transit peptide, a DNA sequence derived from a third carotenoid biosynthesis gene coding region, and a transcriptional termination region, wherein said third carotenoid biosynthesis gene encodes a carotenoid biosynthesis gene selected from the group consisting of phytoene synthase,  $\beta$ -carotene hydroxylase, and  $\beta$ -carotene ketolase.

22. The method of Claim 18, wherein said first and second carotenoid biosynthesis genes encode phytoene synthase and  $\beta$ -carotene hydroxylase.

23. The method of Claim 21, wherein said first and second carotenoid biosynthesis genes encode phytoene synthase and  $\beta$ -carotene hydroxylase and said third carotenoid biosynthesis gene encodes phytoene desaturase.

24. The method of Claim 19, wherein zeaxanthin content in said seed are increased.

25. The method of Claim 18, wherein said first and second carotenoid biosynthesis gene encodes phytoene synthase and  $\beta$ -carotene ketolase.

26. The method of Claim 21, wherein said first and second carotenoid biosynthesis genes encode phytoene synthase and  $\beta$ -carotene ketolase and said third carotenoid biosynthesis gene encodes phytoene desaturase.

27. The method of Claim 25, wherein canthaxanthin content in said seed are increased.

28. The method of Claim 26, wherein canthaxanthin content in said seed are increased.

29. The method of Claim 25, wherein echinenone content in said seed are increased.

30. The method of Claim 26, wherein echinenone content in said seed are increased.

31. The method according to Claim 21 further comprising transforming said host plant with 4) an expression cassette comprising as operably linked components, a transcriptional initiation region from a gene preferentially expressed in a plant seed, a plastid transit peptide, a DNA sequence derived from a fourth carotenoid biosynthesis gene coding region, and a transcriptional termination region, wherein said fourth carotenoid biosynthesis gene encodes phytoene desaturase, phytoene synthase,  $\beta$ -carotene hydroxylase and  $\beta$ -carotene ketolase.

32. The method of Claim 31, wherein astaxanthin content in said seed are increased.

33. The method according to Claim 21 wherein transcription from said third DNA sequence results in inhibition of transcription of an endogenous plant gene encoding lycopene  $\epsilon$ -cyclase.

34. The transformed seed of any of one of Claim 1, Claim 16, Claim 21, Claim 31, or Claim 33, wherein said seed produces increased content of at least one xanthophyll compound of interest, said compound of interest selected from the group consisting of zeaxanthin, canthaxanthin, echinenone, hydroxycanthaxanthin,  $\beta$ -cryptoxanthin, adonixanthin,  $\alpha$ -cryptoxanthin, and astaxanthin.

35. The method of Claim 1, wherein said alteration of xanthophyll content is effected by the action of the protein expressed from said carotenoid biosynthesis gene DNA sequence on a compound on a carotenoid substrate present in said plant seed.

BgIII  
AGATCTGCTA GAGAGCTTTG CAAATTCATAC AGAAGTGAGA AAAATGGCTT CTATGATATC 60  
CTCTTCGGCT GTGACAACAG TCAGCCGTGC CTCTAGGGG CAATCCGCCG CAGTGGCTCC 120  
ATTGCGCGGC CTCAAATCCA TGACTGGATT CCCAGTGAAG AAGGTCAACA CTGACATTAC 180  
TTCCATTACA AGCAATGGTG GAAGAGTAAA GTGCATGAAT AATCCGTCGT TACTCAATCA 240  
TGGGCTCGAA ACCATGGCAG TTGGCTCGAA AGTTTTCG ACAGCCTCA AGTTATTGA 300  
TGCAAAACC CGGCGCAGG TACTGATGCT CTAGCCTGG TGCCGCCATT GTGACGATGT 360  
TATTGACGAT CAGACGCTGG GCTTTCAGGC CCGCAGCCT GCCTTACAA CGCCCGAACA 420  
ACGTCGATG CAACTTGAGT TGAACAACGG CCAGGCCAT GCAGGATCGC AGATGCACGA 480  
ACCGCGGTTT GCGGCTTTT AGGAAGTGGC TATGGCTCAT GATATCGGCC CGGCTTACGC 540  
GTTTGATCAT CTGGAAGGCT TGGCAATGA TGTAAGCGAA GCGCAATACA GCGAAGTGA 600  
TGATACGCTG CGCTATTGCT ATCAGGTTGC AGCGTTGTC GCGTTGATGA TGGCGCAAT 660

FIGURE 1  
1/2

CATGGGCGTG CCGGATAACG CCACGCTGGA CCGCGCCCTGT GACCTTGGGC TGGCATTTCA 720  
GTTGACCAAT ATTGCTCGG ATATTGTGGA CGATGCGCAT GCGGCCCCCT GTTATCTGCC 780  
GGCAAGCTGG CTGGAGCATG AAGTCTTGAA CAAAGAGAAAT TATGCGGCAC CTGAAAACCG 840  
TCAGGGCGCTG AGCCGTATCG CCGTGTGTTT GGTGCAGGAA GCAGAACCTT ACTATTGTG 900  
TGCCACAGCC GGCCTGSCAG GGTTCGCCCT GCGTCCGCC TGGCAATCG CTACGGCGAA 960  
GCAGGTTTAC CGGAAATAG GTGTCAAAGT TGAACAGGCC GGTACGAAAG CCTGGGATCA 1020  
GGGGCAGTCA ACCACCACGC CCGAATAATT AACGCTGCTG CTGGCCGCCCT CTGGTCAGGC 1080  
CCTTACTTCC CGGATGCGGG CTCATCCTCC CCGCCCTGCC CATCTCTGSC AGCGCCCGCT 1140  
CTAGCGCCAT GTCTTTCCG GAGCGTCCGA ATTATCGATG AATTCGAGCT CGGTACCCGG 1200  
BamHI  
GGATCCTTA GAGTCGACCT GCAGGCATGC AA 1232

FIGURE 1  
2/2

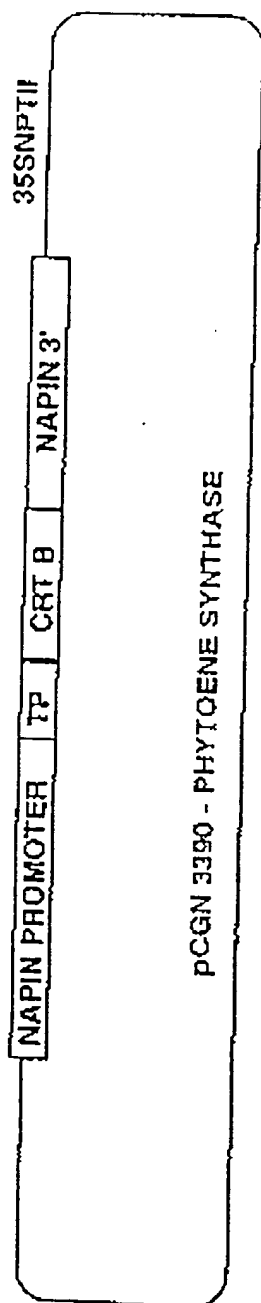


FIGURE 2A

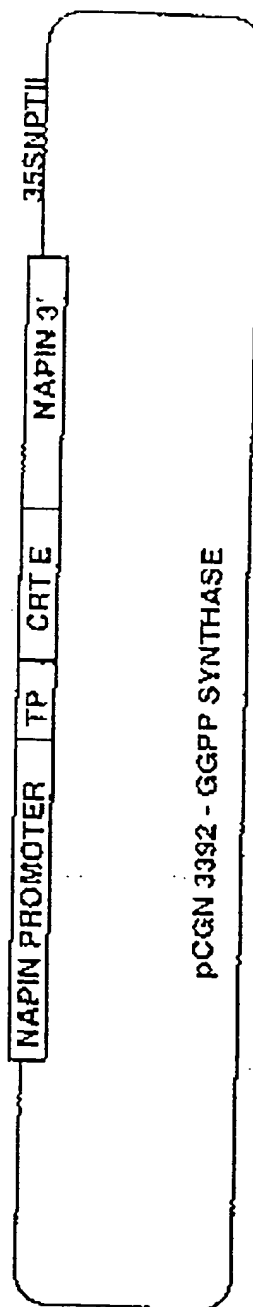


FIGURE 2B

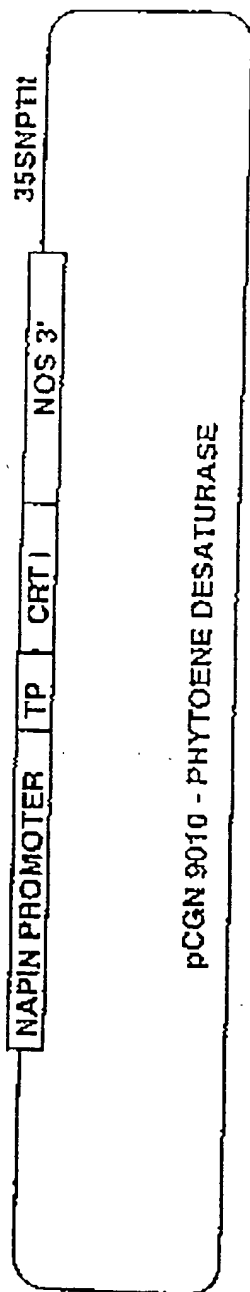


FIGURE 2C

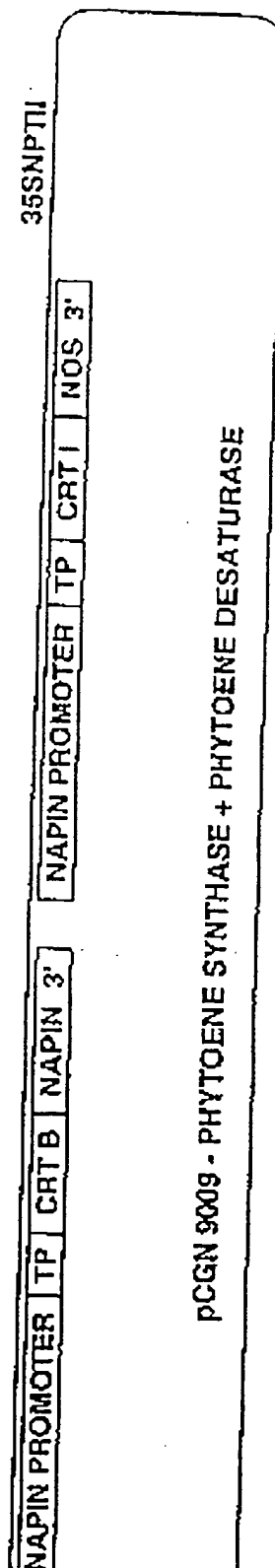


FIGURE 2D

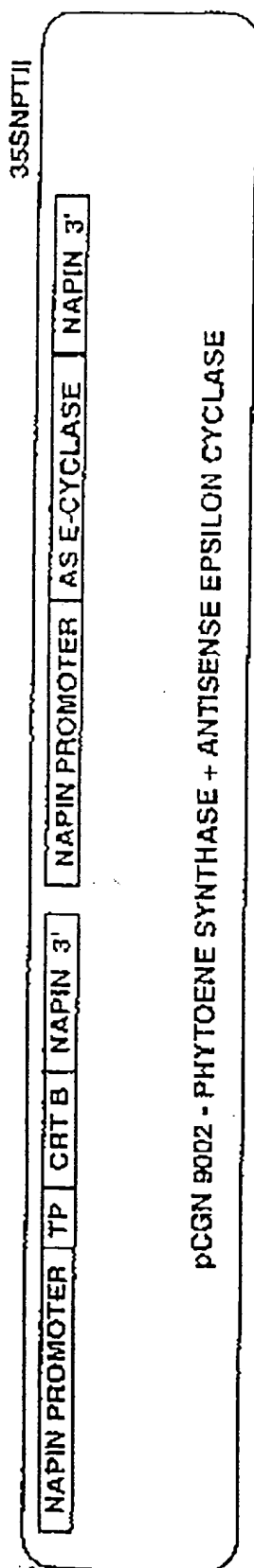


FIGURE 2E

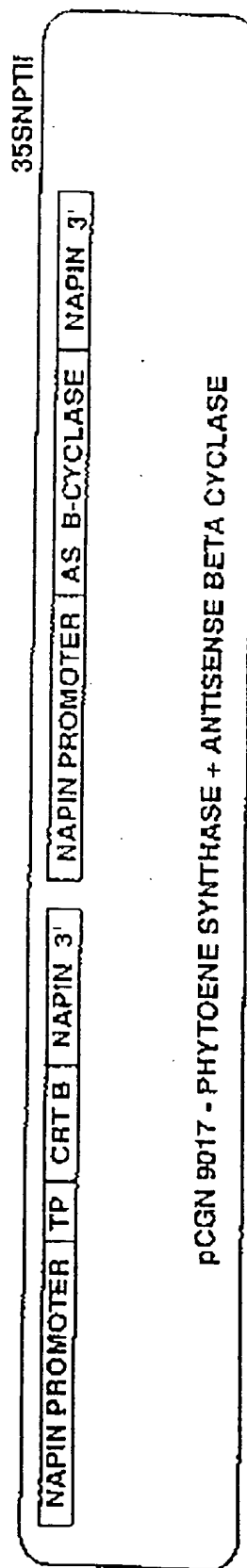
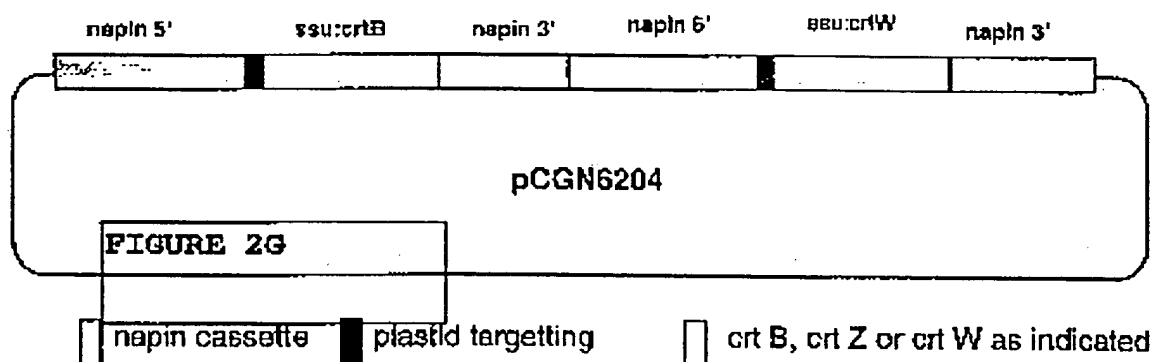
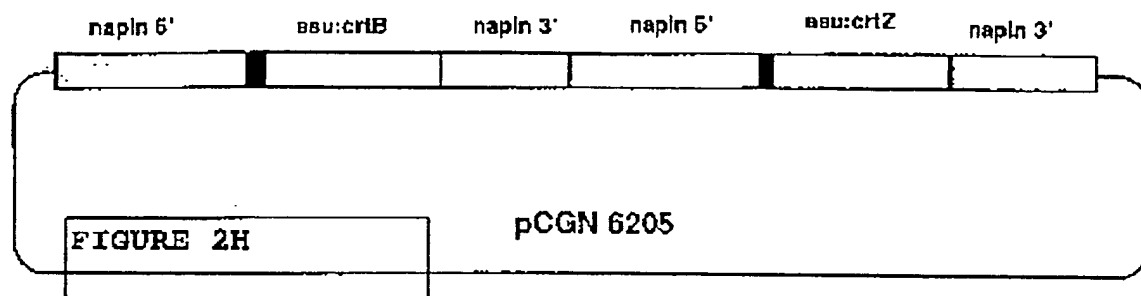
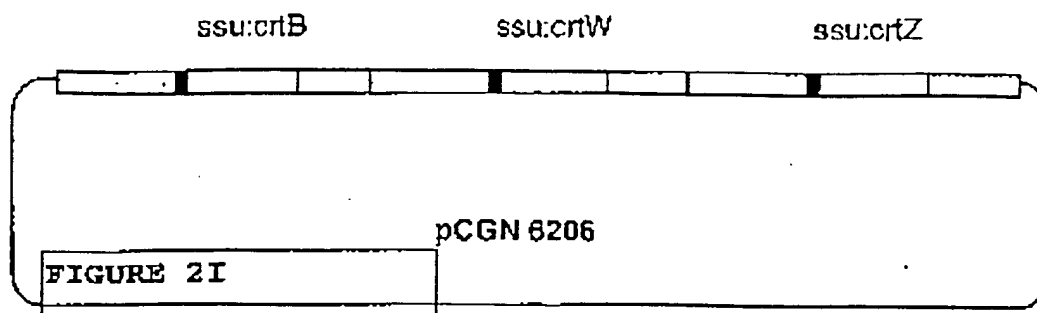


FIGURE 2F



CONTROL SAP

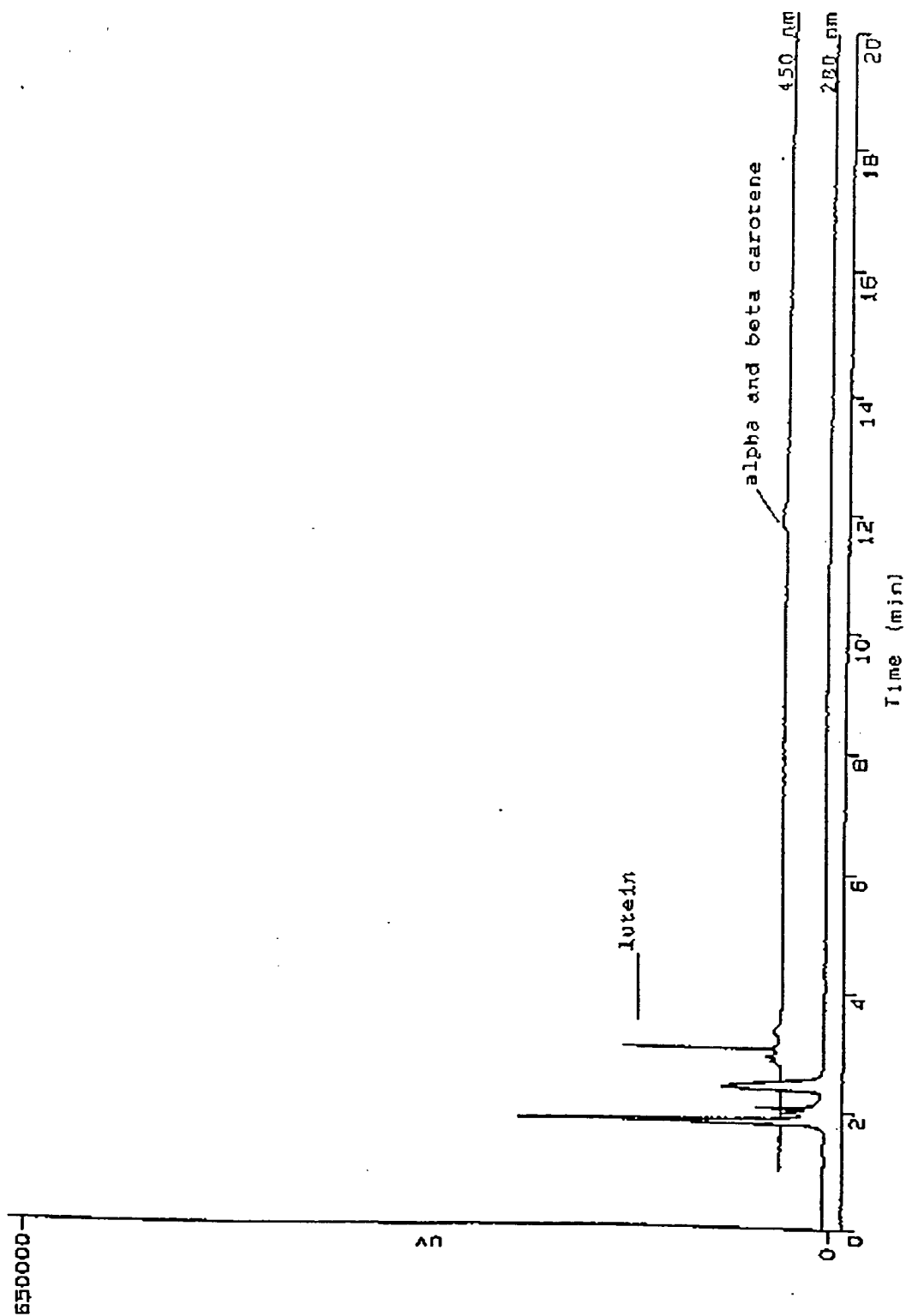


FIGURE 3 1/1

3390 SAP

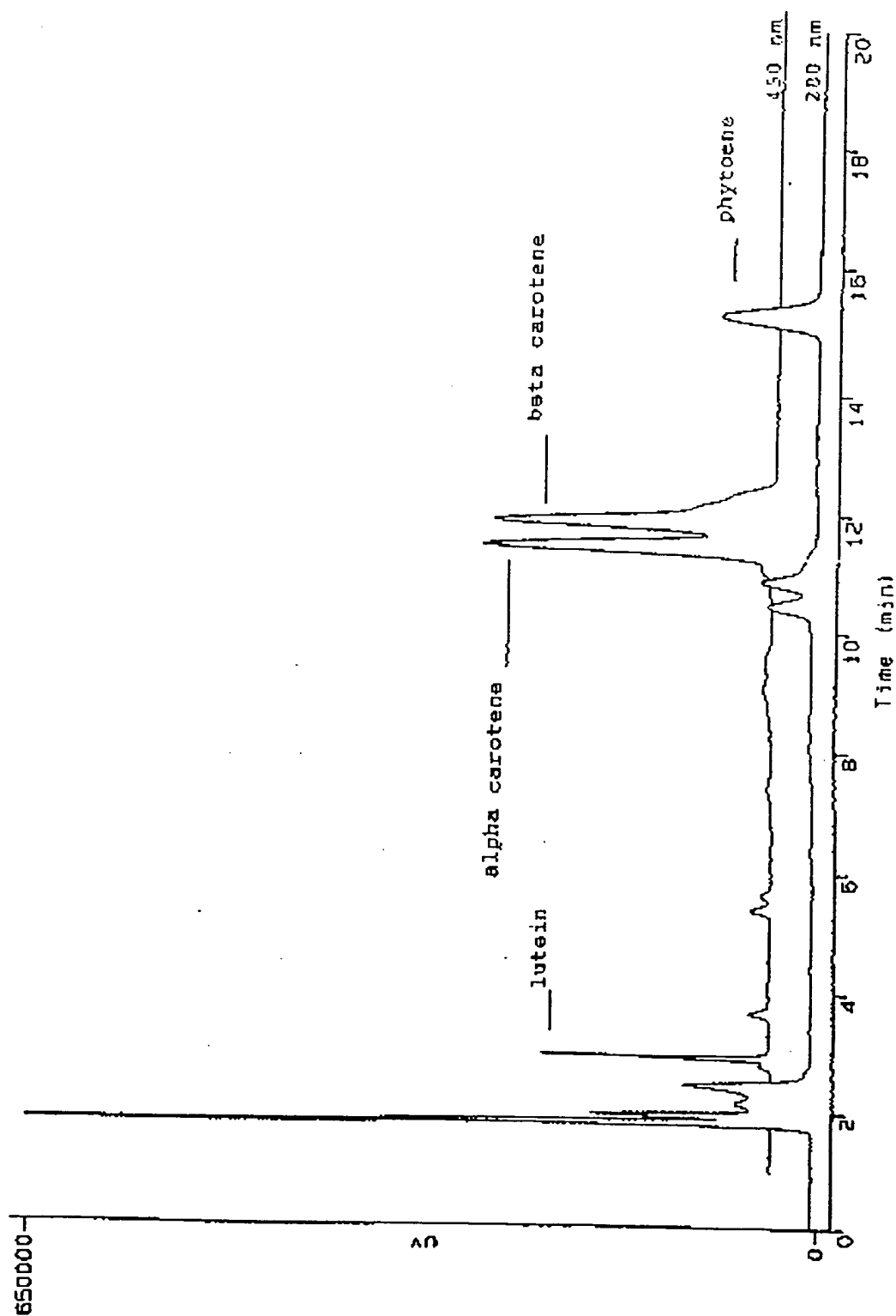


FIGURE 4 FIGURE 4 1/1

18:1 vs 18:2 and 18:3 in 3390s

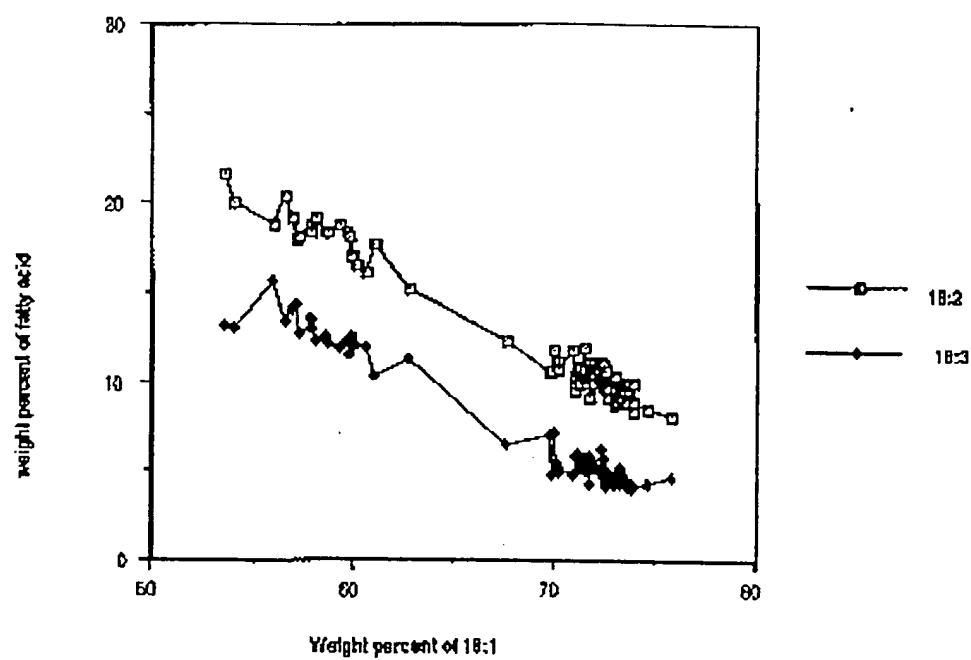


FIGURE 5

1/1

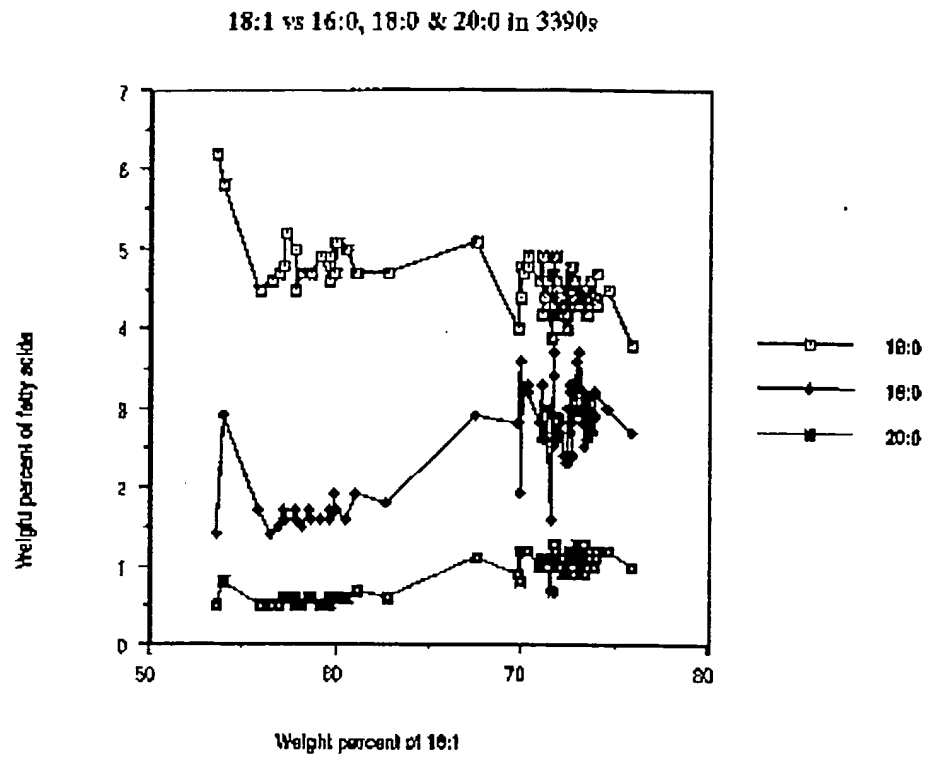


FIGURE 6

1 / 1

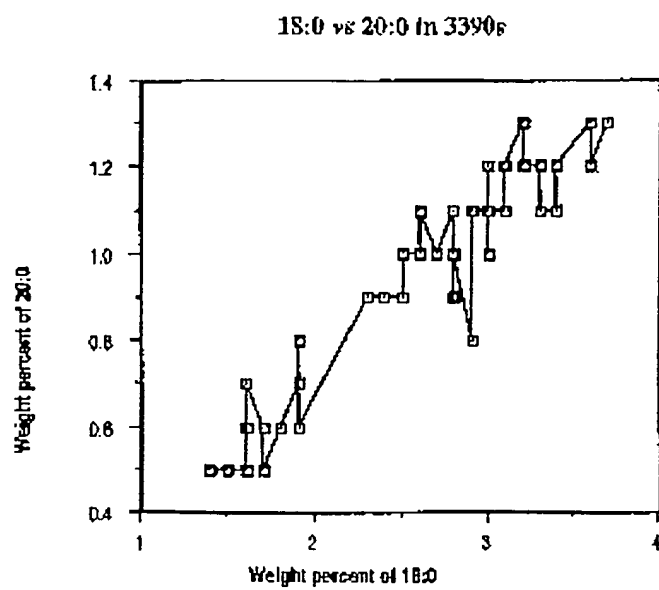
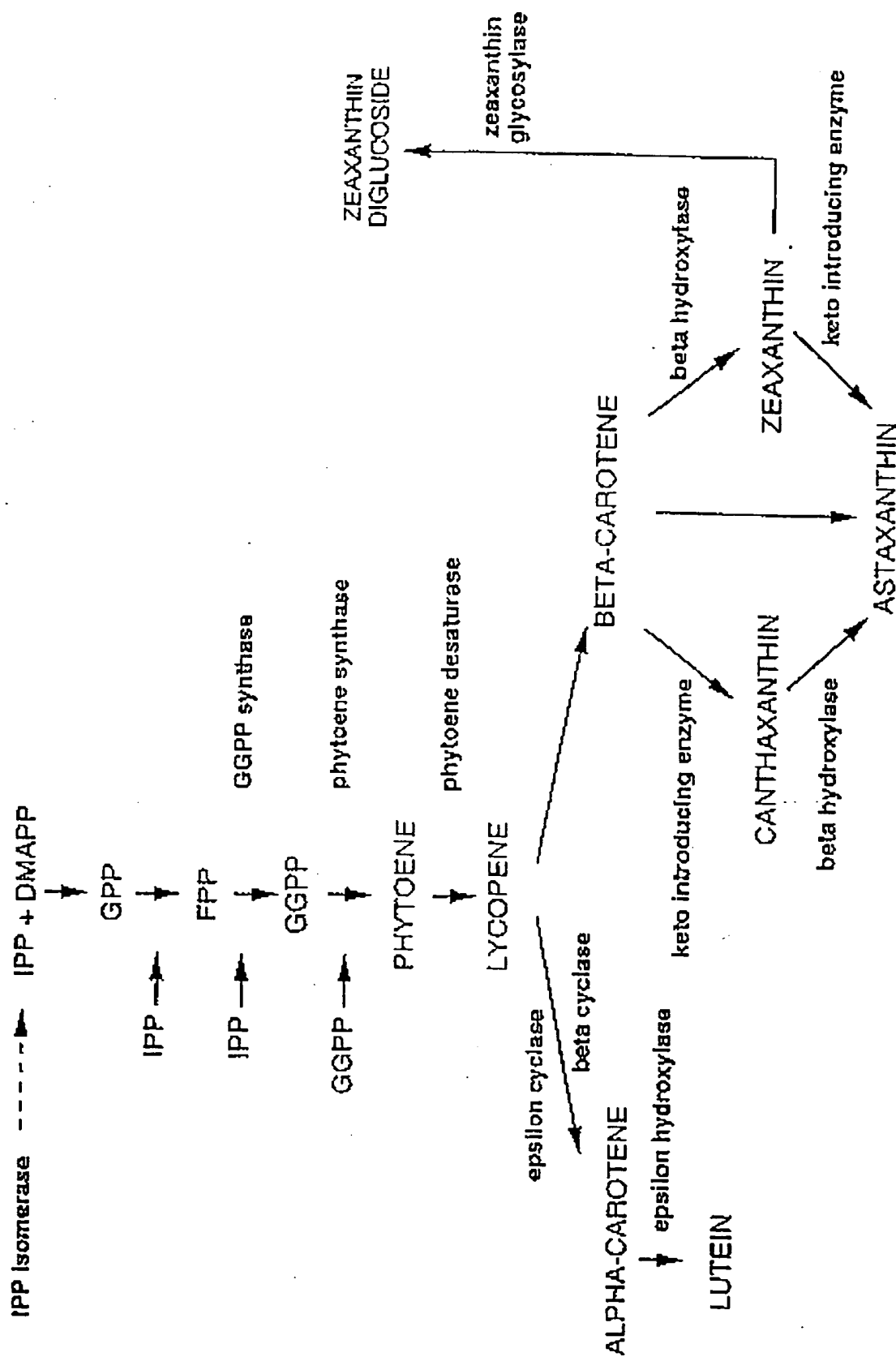


FIGURE 7

1/1

FIGURE 8  
171

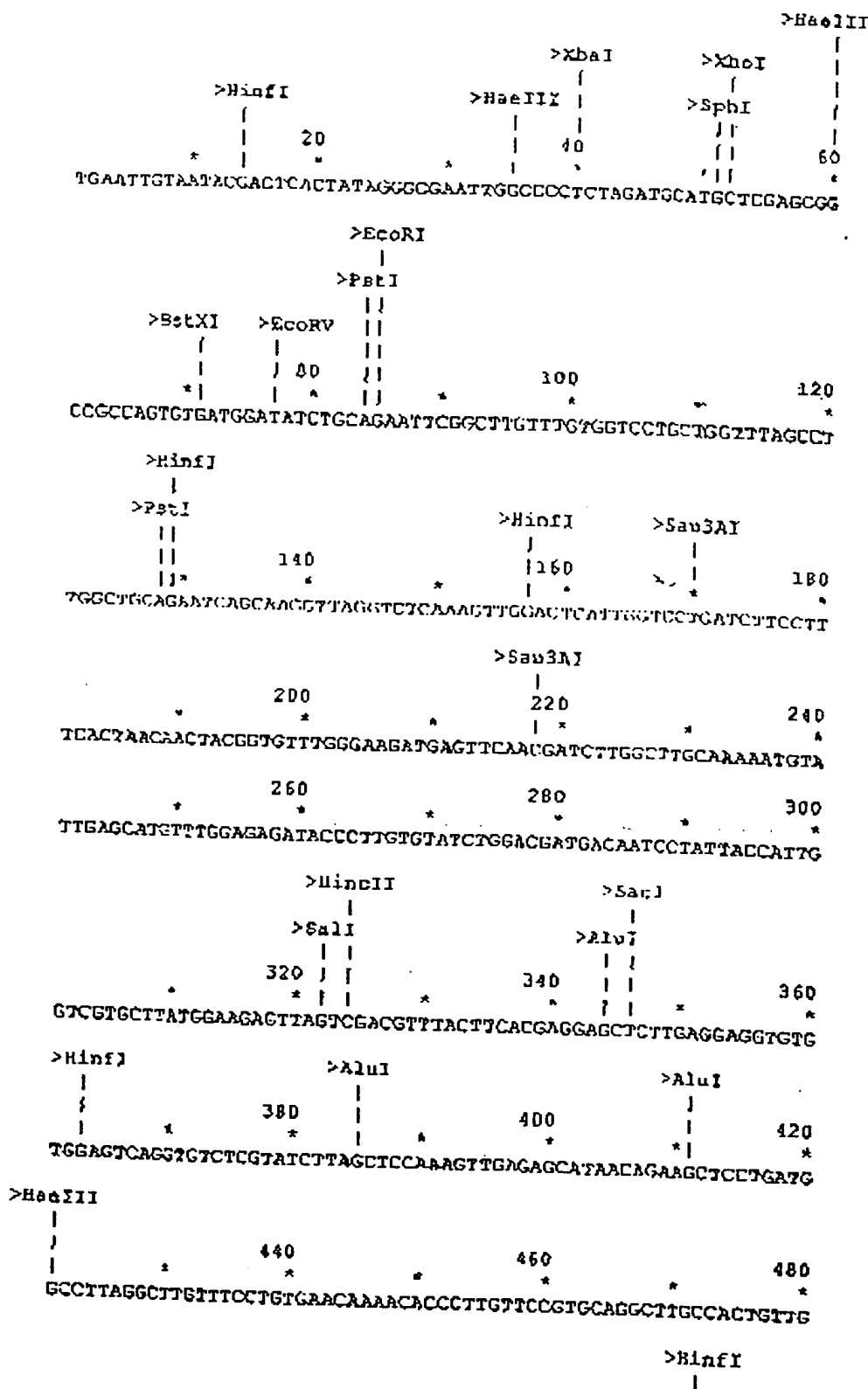


FIGURE 9

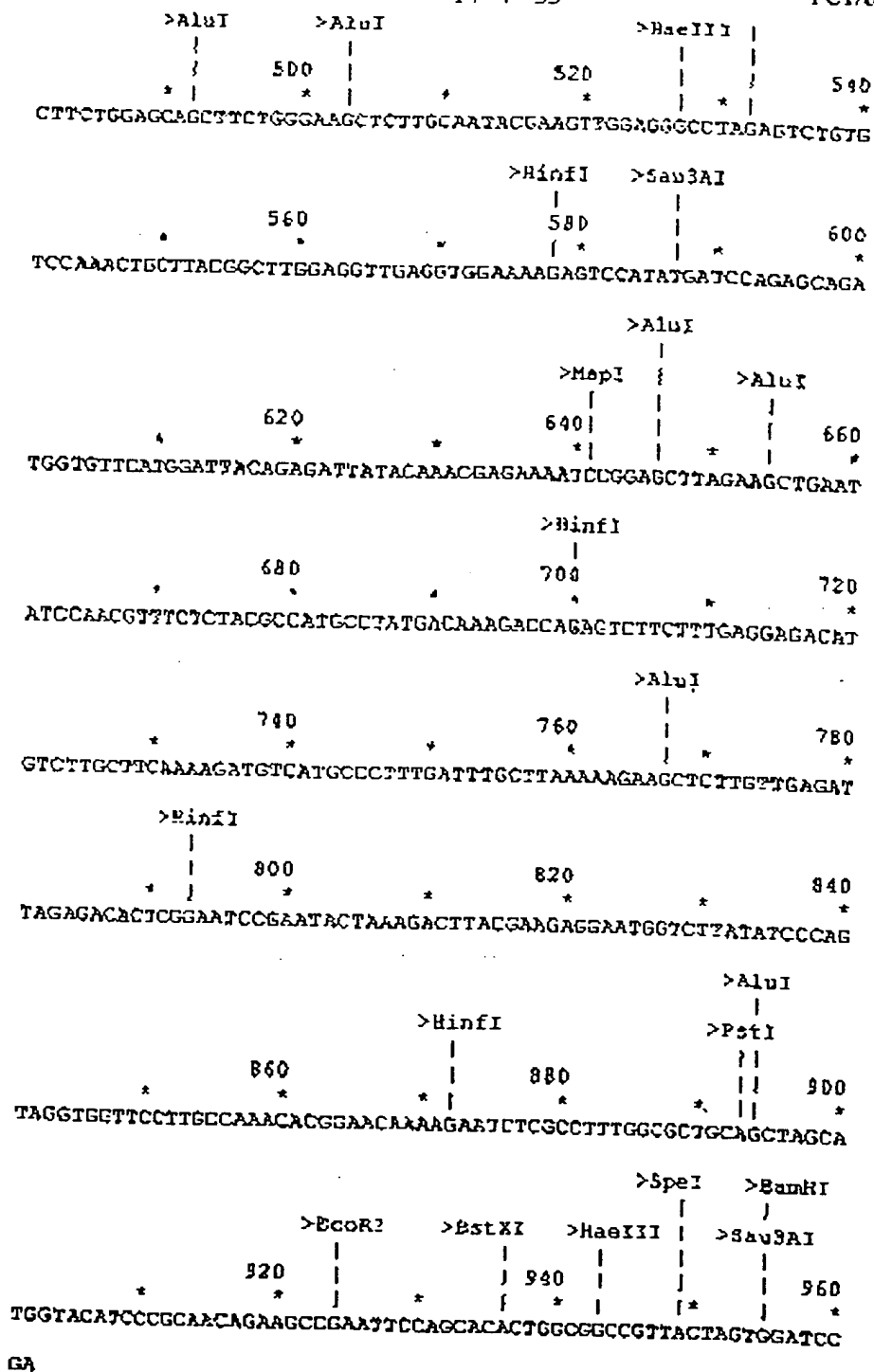


FIGURE 9

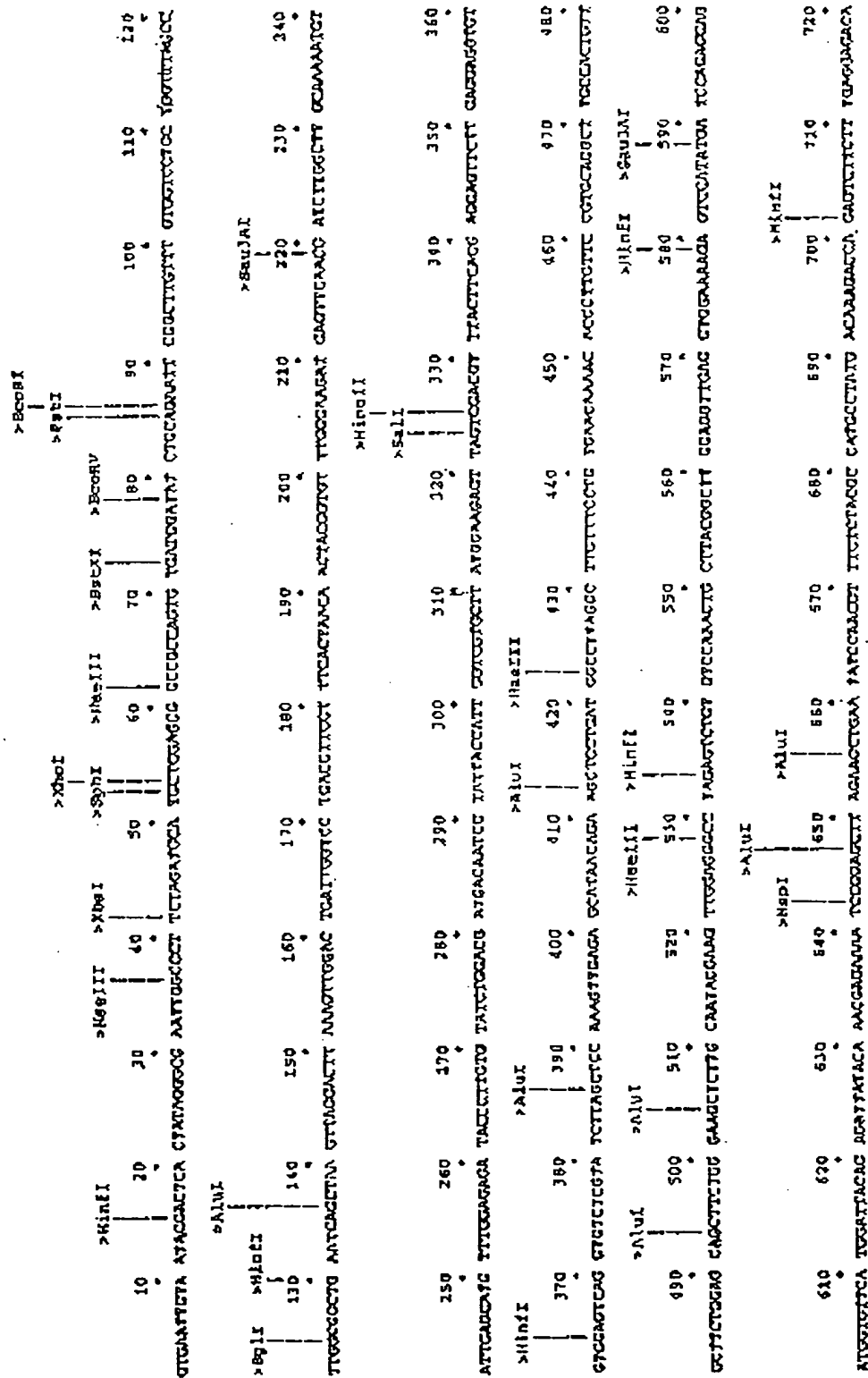


FIGURE 10  
1/2



60 \*  
GAGCTCGGAT CCACTAGTAA CGCCGCCAG TGTGCTGGAA TTCGGCTTCT ATCTTGTACC  
120 \*  
AAATTGTTGA TCATCTTAGC AAGAGGAACA GTTCCCTTCG TCATGATCTC CAACCTCGAG  
180 \*  
GTATTAGAG CATGCCAGAA GAGCGACAGC CCGAAGAAC A CAGGTCGG GAGAPACAGC  
240 \*  
CTCGACGACA AGAAACCATG CCAGTAACGC GGTTCACGGT CAAAGAAGGC ATCAAGAAGC  
300 \*  
CTCCTAGTAG CATCCAAATC AAGCTTCAGC AAAATATCCA TCCCAAAACA GAAGAATCC  
360 \*  
CTCTGTCTCC GCCTCTCAAT AGGCCACAG TCTCTCCACA CCTCAGCCGA GAGCTCATCT  
420 \*  
CCTCTCAAGC CGTTGTTGTT ACCACCCACCA AGGTACCCCA CTATAGCGTT TGCAACTATC  
480 \*  
GGAGCAGCTG CAAGAGTCCT AGCAACCATG TAACCACTG AAGGATCAAC CATCCCCGCC

FIGURE 11  
1/4

540 \*  
GTACCGCCAA TGCCAAACAC TCCTTGAGGC AAGACCGGTA AAGGACCTCC CATAGGGATC  
600 \*  
ACACAACGGT CGTCTTCTC ATTCGGCTTC ACGTTGATCC CCAATGTGTT CAGCCTCGCA  
560 \*  
ACCATCCTCT CTGGGATATC TTCCATCTTC AGACCGGGCC TAGCCACAAG AGACGTCTCT  
720 \*  
TCAAGAAAGA TCCTGTGTGA AAAAAAGGC ATGCGGTACA GGAACGTAGG GATCTTGCTG  
780 \*  
TTCCGCTCTT TAACCTCAGG GTACGGGTCA AGATGCTTAT CTCTCCAGTC CATGAACACC  
840 \*  
ATCTTATCCA CATCAACCG GTGACCATCG ACCTCAGCAA TGATACCATA AGCTACTTGA  
900 \*  
TACCCAGGGT TATAAGGCTT ATCATACTGA ACCAAGCATC TTGAATAAAC AGTAGCGTCG  
960 \*  
AGAACAAACAG AAGCCTGAAT CTTACACACCG TCACTGCAGA CAACAGTGA GTTAACCTCC

FIGURE 11  
2/4

1020 \*  
TCGTGAACCA CGTCAGTGAC TTTAGCCTGA TGGAACTCTAA CACCGTTGCT GATGCACCTTC  
1080 \*  
TORAGCATCT TGGATTGAG CTGTTTACGG TTCACCTCTCC CGTAAGGCCG GGAACAGGTC  
1140 \*  
TTTTTCGGAGC CGTCGTTGCT GTAGACGACG GCGCCGGACC AGGTGGTGTG GAGGCAGTCT  
1200 \*  
AGCAAGTCCA TGGCTTCGAA CTGCTCAACC CAACCTCCGT AGTTGTTAGG CCAAAATGAGT  
1260 \*  
TTGGGGGAG GATCGATGGA GCAGACAGAG AGTCCAGCTT CCGAGACTTG CTGAGCCACG  
1320 \*  
GCTAAACCA GCGGGCCGCC GCCAAGGATA GCTAGATCA CAACCTTTGTT CAGGGAGATG  
1380 \*  
TCGTTTAAAG GAAGGTCCAA GTCGAGATTC TCCTTCTTGG TTTCAGGAAC AAGATCCAAA  
1440 \*  
AGAGCACTAC TAGCACTAGT GATACTACTA CCGATTCTGA TTGCTCTTTT CTTCARACCA

FIGURE 11

3/4

1500 \*  
AGCTTAACCC TTGAAGGATT TGGACTTAAT CTCTCGAACC CATGAAACTG AGGGATGAAA  
1560 \*  
AACTCGAGCT TGTTCGGTGT TTTCACAGA GTATCCATCG AATTCGCGAG ATATCCATCA  
CACTGGCGGC CGCTCGAGCA TGCATCTAGA

FIGURE 11  
4/4

Sample ID #	Segregation ratio	Lutein	Carotenoid concentration ( $\mu\text{g/gFW}$ )		
			Lycopene	$\alpha$ -Carotene	$\beta$ -Carotene
SP30021 control 1		24.4	ND	ND	1.9
SP30021 control 2		34.0	ND	ND	4.9
T2 3390-SP30021-1	3:1	33.5	6.1	229.0	385.7
T2 3390-SP30021-2	15:1	50.4	6.2	372.4	721.4
T2 3390-SP30021-3	no fit	45.8	3.9	352.9	580.9
T2 3390-SP30021-4	3:1	31.0	4.9	306.1	463.3
T2 3390-SP30021-5	3:1	36.8	10.5	370.6	659.4
T2 3390-SP30021-6	15:1	46.9	9.1	445.1	797.0
T2 3390-SP30021-7	15:1	51.2	7.4	494.9	941.4
T2 3390-SP30021-8	no fit	41.9	11.3	468.4	904.3
T2 3390-SP30021-9	>63:1	68.4	11.9	394.2	949.2
T2 3390-SP30021-10	null	51.6	ND	12.6	22.8
T2 3390-SP30021-11	3:1	52.2	9.5	409.8	714.5
T2 3390-SP30021-12*	3:1	48.0	10.2	400.0	738.8
T2 3390-SP30021-13	3:1	66.1	3.9	98.1	216.0
T2 3390-SP30021-14	3:1	49.1	8.9	320.0	611.6
T2 3390-SP30021-15	null	27.0	ND	ND	1.2
T2 3390-SP30021-16	3:1	55.6	6.4	283.1	527.4
T2 3390-SP30021-17	3:1	53.0	9.1	324.9	614.3
T2 3390-SP30021-18	>63:1	49.6	8.1	449.0	759.3
T2 3390-SP30021-19	3:1	62.2	7.6	346.1	613.2
T2 3390-SP30021-20	3:1	52.1	6.3	285.0	544.9
T2 3390-SP30021-21	3:1	56.2	4.1	187.9	334.2
T2 3390-SP30021-22	null	43.1	ND	ND	4.9
T2 3390-SP30021-23	3:1	71.0	10.9	358.6	693.9
T2 3390-SP30021-24	no fit	53.9	7.3	272.1	520.4
T2 3390-SP30021-25	3:1	31.9	12.2	309.1	580.9
T2 3390-SP30021-26*	3:1	34.3	9.3	311.2	584.4
T2 3390-SP30021-27	3:1	52.6	9.8	299.8	686.3
					1048.5*

FIGURE 12  
1/2

T2 3390-SP30021-28	no fit	68.4	10.0	446.3	907.7	1432.4
T2 3390-SP30021-29	>63:1	85.1	8.5	459.4	822.9	1375.9
T2 3390-SP30021-30	3:1	63.7	5.8	356.9	598.4	1024.8
T2 3390-SP30021-31	3:1	76.0	7.3	302.5	527.1	912.9
T2 3390-SP30021-32	null	51.8	2.3	31.4	55.0	140.5
T2 3390-SP30021-33	3:1	36.3	8.9	283.1	546.9	875.2
T2 3390-SP30021-34	>63:1	86.9	12.1	502.3	808.3	1409.6
T2 3390-SP30021-35	3:1	39.3	8.1	224.5	461.0	732.9
T2 3390-SP30021-36	15:1	55.5	11.0	538.5	829.9	1434.9
T2 3390-SP30021-37*	3:1	50.3	10.0	291.1	625.9	977.3*
T2 3390-SP30021-38	3:1	70.5	8.1	309.0	576.1	963.7
T2 3390-SP30021-39	null	37.3	ND	ND	3.6	40.9
T2 3390-SP30021-40	3:1	37.5	1.8	251.1	505.2	796.0
T2 3390-SP30021-41	3:1	47.5	8.4	414.1	719.3	1189.3*
T2 3390-SP30021-42	3:1	42.6	5.1	230.3	352.9	630.9
T2 3390-SP30021-43	no fit	83.3	5.6	128.4	219.8	437.9
T2 3390-SP30021-46	3:1	21.6	1.4	211.2	368.3	602.5
T2 3390-SP30021-47	3:1	79.1	3.7	312.5	570.5	965.8
T2 3390-SP30021-48	3:1	45.3	3.0	225.2	401.5	675.0
T2 3390-SP30021-49	15:1	28.3	1.6	346.0	677.2	1053.1
T4 3390-SP001-1-6-13	Homo	52.4	1.5	439.5	669.3	1162.7

FIGURE 12  
2/2

Sample ID #	Segregation status	Carotenoid concentration (µg/gFW)			
		Lutein	Lycopene	α-Carotene	β-Carotene Total
T3 3390-SP001-4-12	Homo	43.9	17.2	282.1	636.8 980.0
T3 3390-SP001-5-7	Het	50.7	6.3	190.6	386.8 634.4
T3 3390-SP001-5-12	Homo	45.5	19.5	255.9	633.4 954.3
T3 3390-SP001-11-6	Homo	46.5	12.8	372.2	538.4 969.9
T3 3390-SP001-11-9	Homo	54.0	10.2	406.0	556.0 1026.2
T3 3390-SP001-14-2	Homo	59.7	12.5	342.4	764.0 1178.6
T3 3390-SP001-14-6	Homo	66.3	12.9	431.0	673.9 1184.1
T3 3390-SP001-15-9	Homo	30.8	14.3	271.8	559.8 876.7
T3 3390-SP001-15-12	Homo	39.6	13.1	241.7	649.1 943.5
T3 3390-SP001-16-3	Homo	49.9	17.1	230.2	519.7 816.9
T3 3390-SP001-16-6	Homo	35.5	21.1	263.8	547.7 868.1
T3 3390-SP001-35-2	Het	37.6	7.2	125.4	313.9 484.1
T3 3390-SP001-35-10	Homo	43.7	16.6	234.7	503.9 798.9
T3 3390-SP001-35-12	Homo	30.2	21.3	361.7	695.7 1128.9
T3 3390-SP001-8-3	Het	41.4	9.9	178.2	434.4 663.9
T3 3390-SP001-8-9	Homo	39.1	18.2	309.3	505.0 871.6
T3 3390-SP001-8-11	Homo	35.9	19.6	260.7	580.4 896.6
T3 3390-SP001-18-8	Het	29.2	12.2	112.1	247.6 441.1
T3 3390-SP001-16-10	Het	38.0	14.6	248.2	486.3 787.1
T4 3390-SP001-1-6-1	Homo	27.8	20.5	248.7	379.1 676.1
T4 3390-SP001-1-6-8	Homo	38.5	16.8	304.1	383.9 743.3
VAR SP001-4-5		54.2	ND	ND	5.8 60.0
VAR SP001-4-6		51.2	ND	ND	7.0 58.2
VAR SP001-4-10		30.2	ND	ND	ND 30.2

FIGURE 13  
1/1

Sample ID #	Segregation		Carotenoid concentration ( $\mu\text{g/gFW}$ )				
	ratio	Lutein	Lycopene	$\alpha$ -Carotene	$\beta$ -Carotene	Phytoene	Total
SP30021 control	homo	21	ND	ND	2	ND	23
9002-SP30021-1*	3:1	20	2	394	618	210	1244
9002-SP30021-2	3:1	17	2	283	537	128	969
9002-SP30021-3	>64:1	19	7	489	689	381	1585
9002-SP30021-4	3:1	58	5	105	266	94	528
9002-SP30021-5	15:1	24	3	416	649	265	1357
9002-SP30021-6	3:1	13	2	324	546	176	1061
9002-SP30021-7	3:1	13	4	344	465	212	1038
9002-SP30021-8	15:1	12	3	449	690	224	1378
9002-SP30021-9	>64:1	24	5	499	724	313	1565
9002-SP30021-10	15:1	52	25	387	505	245	1214
9002-SP30021-11	3:1	29	2	301	480	187	999
9002-SP30021-12	>64:1	43	10	575	779	436	1843
9002-SP30021-13	3:1	19	3	357	509	279	1167
9002-SP30021-14	null	33	ND	ND	3	ND	36
9002-SP30021-15*	3:1	29	7	472	599	354	1461
9002-SP30021-16	64:1	40	3	315	436	203	997
9002-SP30021-17	15:1	25	7	322	467	144	967
9002-SP30021-18	>64:1	8	4	447	647	313	1419
9002-SP30021-19	15:1	38	17	537	570	327	1489
9002-SP30021-20*	3:1	32	8	363	629	173	1205
9002-SP30021-21	>64:1	1	6	468	736	348	1559
9002-SP30021-22	15:1	68	29	308	423	173	1001
9002-SP30021-23	15:1	51	20	449	553	423	1496
9002-SP30021-24	3:1	47	20	339	515	311	1232
9002-SP30021-25	null	27	ND	ND	2	ND	29
9002-SP30021-26*	3:1	4	3	346	605	150	1108
9002-SP30021-27	>64:1	25	5	416	698	376	1520
9002-SP30021-28	15:1	75	9	464	527	333	1408
9002-SP30021-29	null	32	ND	16	34	ND	82
9002-SP30021-30	3:1	25	9	316	525	182	1057
9002-SP30021-31	null	28	ND	ND	2	ND	30
9002-SP30021-32	3:1	29	5	198	283	132	647
9002-SP30021-33	15:1	50	40	408	557	324	1379
9002-SP30021-34	15:1	43	5	216	289	131	685
9002-SP30021-35	3:1	29	8	303	511	281	1132
9002-SP30021-36	3:1	26	9	324	402	157	918
9002-SP30021-37	3:1	34	11	263	418	143	869
9002-SP30021-39	15:1	54	13	219	420	118	824
9002-SP30021-40	15:1	30	7	382	716	235	1370
9002-SP30021-41	3:1	52	15	440	506	396	1409
9002-SP30021-42	3:1	49	20	317	516	170	1072
9002-SP30021-44	>64:1	34	7	368	647	310	1366
9002-SP30021-45	>64:1	45	9	429	636	402	1521
9002-SP30021-46	3:1	100	14	456	699	347	1617
9002-SP30021-48	3:1	37	5	191	354	231	818
9002-SP30021-50	64:1	51	22	522	756	303	1654

FIGURE 14

1/1

```

10      20      30      40
ATG GCT TCT ATG ATA TCC TCT TCC GCT GTG ACA ACA GTC AGC CGT
TAC CGA AGA TAC TAT AGG AGA AGG CGA CAC TGT TGT CAG TCG GCA
M  A  S  H  I  S  S  S  A  V  T  T  T  V  S  R>

50      60      70      80      90
GCC TCT AGG GGG CAA TCC GCC GCA GTG GCT CCA TTC GGC GGC CTC
CGG AGA TCC CCC GTT AGG CCG CGT CAC CGA GGT AAG CCG CCG GAG
A  S  R  G  Q  S  A  A  V  A  P  P  G  G  L>

100     110     120     130
AAA TCC ATG ACT GGA TTC CCA GTG AAG ARG GTC AAC ACT GAC ATT
TTT AGG TAC TGA CCT AAG GGT CAC TTC TTC CAG TTG TGA CTG TAA
K  S  M  T  G  F  P  V  K  K  V  N  T  D  I>

140     150     160     170     180
ACT TCC ATT ACA AGC AAT GGT GGA AGA GTA AAG TGC ATG ACC AAC
TGA AGG TAA TGT TCG TTA CCA CCT TCT CAT TTC ACC TAC TGG TTG
T  S  I  T  S  N  G  G  R  V  K  C  M  T  N>

190     200     210     220
TTC TTG ATC GTT GTC GCT ACC GGT TCG CAA AAC CAA TAC CTC AAC TGA CGA
AAG AAC TAG CAA CAG CAG TGG CAA T V L V M E L T A>
F  L  I  V  V  A  T  V  V  L  V  M  E  L  T  A>

230     240     250     260     270
TAC TCC GTC CAC AGA TGG ATC ATG CAC GGT CCA TTG GGT TGG GGT
ATG AGG CAG GTG TCT ACC TAG TAC GTG CCA GGT AAC CCA ACC CCA
Y  S  V  H  R  M  I  M  H  G  P  L  G  W  G>

```

FIGURE 15  
1/3



```

550      GTC GAG GGT AGA GAC CAC TGT GGT TCT TTC GGT TTC ATC TAC GCT
      CAG CTC CCA TCT CTG CTG ACA CAA AGA AAG CCA AAG TAG ATG CGA
      V E G R D H C V S F G F I Y A>
560
570
580
590      CCA CCA GTT GAT AAG TTG AAG CAA GAC TTG AAG ATG TCC GGT GTC
      GGT GGT CAA CTA TTC AAC TTC GTT CTG AAC TTC TAC AGG CCA CAG
      P P V D K L K Q D L K M S G V>
600
610
620
630
640      TTG AGA GCT GAG GCT CAA GAG AGA ACC TAG
      AAC TCT CGA CTC CGA GTT CTC TCT TGG
      L R A E A Q E R T>
550

```

FIGURE 15  
3/3

```

10      20      30      40
ATG GCT TCT ATG ATA TCC TCT TCC GGT GTG ACA ACA GTC AGC CGT
TAC CGA AGA TAC TAT AGG AGA AGG CGA CAC TGT TGT CAG TCG GCA
M   A   S   M   I   S   S   S   A   V   T   T   V   S   R>

50      60      70      80      90
GCC TCT AGG GGG CAA TCC GCC GCA GTG GCT CCA TTC GGC GGC CTC
CGG AGA TCC CCC GTT AGG CGG CGT CAC CGA GGT AAG CCG CCG GAG
A   S   R   G   Q   S   A   A   V   A   P   F   G   G   L>

100     110     120     130
AAA TCC ATG ACT GGA TTC CCA GTG AAG AAG GTC AAC ACT GAC ATT
TTT AGG TAC TGA CCT AAG GGT CAC TTC TTC CAG TTG TGA CTG TAA
K   S   M   I   G   F   P   V   K   K   V   N   T   D   I>

140     150     160     170     180
ACT TCC ATT ACA AGC AAT GGT CGA AGA GTA AAG TCC ATG TCC GCT
TGA AGG TAA TGT TCG TTA CCA CCT TCT CAT TTC ACG TAC AGG CGA
T   S   I   T   S   N   G   G   R   V   K   C   M   S   A>

190     200     210     220
CAC GCT TTG CCA AAG GCT GAC TTG ACT GCT ACC TCC TTG ATC GTC
GTG CGA AAC GGT TTC CGA CTG AAC TGA CGA TGG AGG AAC TAG CAG
H   A   L   P   K   A   D   L   T   A   T   S   L   I   V>

230     240     250     260     270
TCC GGT GGT ATC ATC GCT GGT TGG TTG GCT TTG CAC GTT CAC GCT
AGG CCA CCA TAG TAG CGA CGA ACC AAC CGA AAC GTG CAA GTG CGA
S   G   G   I   I   A   A   N   L   A   L   H   V   H   A>

280     290     300     310
TTG TGG TTC TTG GAC GCT GCT GCT CAC CCA ATC TTG GCT ATC GCT
AAC ACC AAG AAC CTG CGA CGA GTG GGT TAG AAC CGA TAG CGA
L   W   F   L   D   A   A   A   A   H   P   I   L   A   I   A>

```

FIGURE 16  
1/3

```

320 AAC TTC TTG GGT TTG AAC TGG TTG TCT GTC GGT TTG TTC ATC ATC 360
    TTG AAG AAC CCA AAC TTG ACC AAC AGA CAG CCA AAC AAG TAG TAG
    N F L G L N W L S V G L F I I>

370 GCT CAC GAC GCT ATG CAC GGT TCC GTT GTC CCA GGT AGA CCA AGA 400
    CGA GTG CTG CGA TAC GTG CCA AGG CAA CAG CAG GGT CCA TCT GGT TCT
    A H D A M H G S V V F G R P R>

410 GCT AAC GCT GCT ATG GGT CAA TTG GTT TTG TGG TTG TAC GCT GGT 450
    CGA TTG CGA CGA TAC CCA GTT AAC CAA AAC ACC AAC ATG CGA CCA
    A N A A M G Q L V L W L Y A G>

460 TTC TCT TGG AGA AAG ATG ATC GTT AAG CAC ATG GCT CAC CAC AGA 490
    AAG AGA ACC TCT TTC TAC TAG CAA TTC GTG TAC CGA GTG GTG TCT
    F S W R K M I V K H M A H H R>

500 CAC GCT GGT ACT ACT GAT GAC GAC CCA GAT TTC GAC CAC GGT GGT CCA 540
    GTG CGA CCA TGA CTA CTG CTG GGT CTA AAG CTG GTG CCA CCA GGT
    H A G T D D D P D F D H G G P>

550 GTT AGA TGG TAC GGT AGA TTC ATC GGT ACT TAC TTC GGT TGG AGA 580
    CAA TCT ACC ATG CGA TCT AAG TAG CCA TGA ATG AAG CCA ACC TCT
    V R W Y A R F I G T Y F G W R>

590 GAG GGT TTG TTG TTG CCA GTC ATC ATC GTT ACC GTT TAC GCT TTG ATC 630
    CTC CCA AAC AAC AAC GGT CAG TAG CAA TGG CAA ATG CGA AAC TAG
    E G L L L L P V I V T V Y A L I>

```

FIGURE 16  
2/3

640 TTG GGT GAC AGA TGG ATG TAC GTT GTC TTC TGG CCA TTG CCA TCC  
 AAC CCA CTG TCT ACC TAC ATG CAA CAG AAG ACC GGT AAC GGT AGG  
 L G D R W M Y V V P L P S>  
 650  
 660  
 670  
 680 TTG GGT GCT TCT ATC CAA TTG TTC GTT TTC GGT ACC TGG TTG CCA  
 TAG AAC CGA AGA TAG GTT AAC CAA AAG CCA TGG ACC AAC GGT  
 I L A S I Q L P V F G T N L P>  
 690  
 700  
 710  
 720  
 730 CAC AGA CCA GGT CAC GAC GCT TTC CCA GAC AGA CAC AAC GCT CGA  
 GTG TCT GGT CCA GTG CTG CGA AAG GGT CTG TCT GTG TTG CGA GCT  
 H R P G H D A P P D R H N A R>  
 740  
 750  
 760  
 770 TCC TCC AGA ATC TCT GAT CCA GGT CCA GTT TCC TTG ACC TGT TTC CAC  
 AGG AGG TCT TAG AGA CTA GGT CCA AAG CAA AGG AAC L L T C F H>  
 S S R I S D P V S L L T C F H>  
 780  
 790  
 800  
 810  
 820 TTC GGT GGT TAC CAC CAC GAG CAC CAC TTG CAC CCA ACT GTC CCA  
 AAG CCA CCA ATG GTG GTG CTC GTG GTG AAC L L H P T V P>  
 F G G Y H H E H H L L H P T V P>  
 830  
 840  
 850  
 860 TGG TGG AGA TTG CCA TCC ACC AGA ACC AAG GGT GAC ACC GCT TAG  
 ACC ACC TCT AAC GGT AGG TGG TCT TGG TTC CCA CTG TGG CGA ATC  
 W W R L P S T R T K G D T A \*>  
 870  
 880  
 890  
 900  
 TA  
 AT

 FIGURE 16  
 3/3

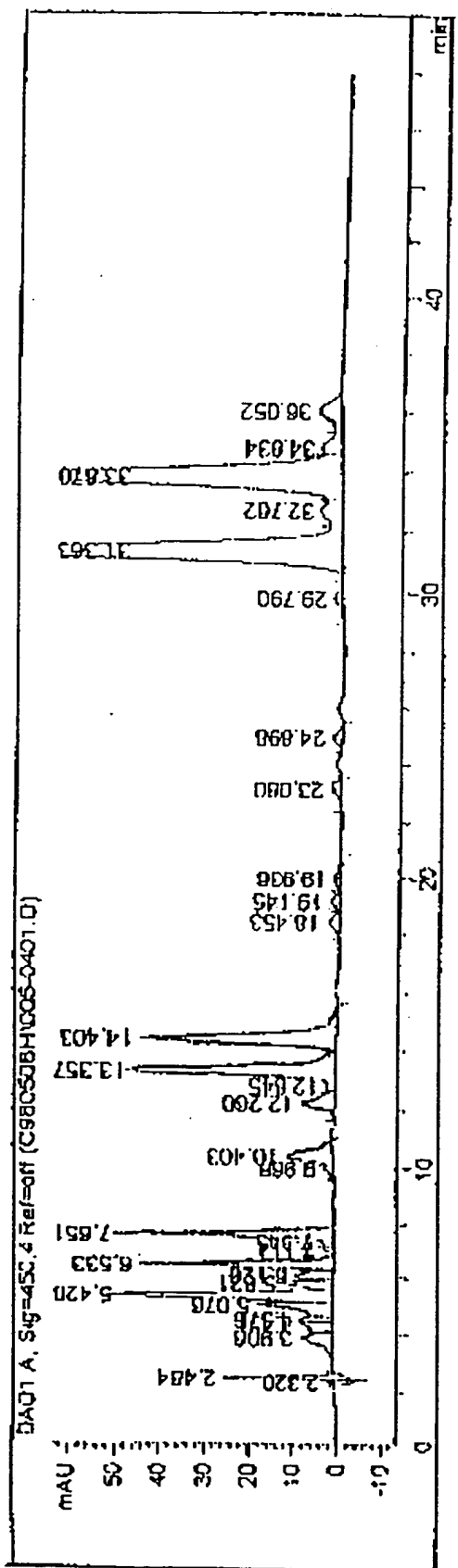


FIGURE 17A  
1/3

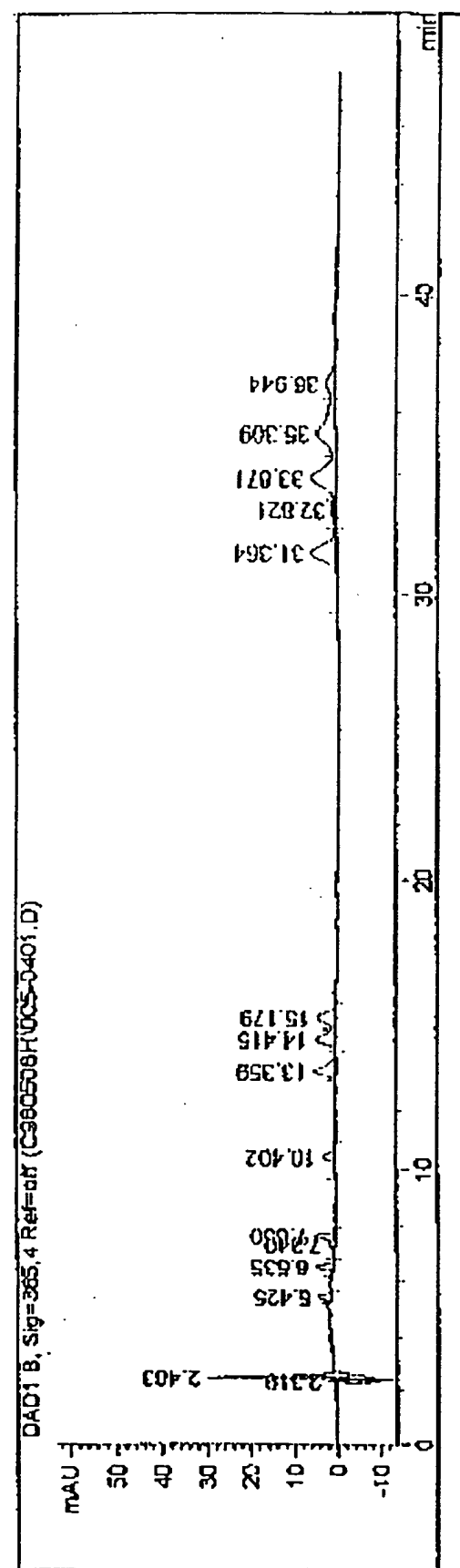


FIGURE 17B  
2/3

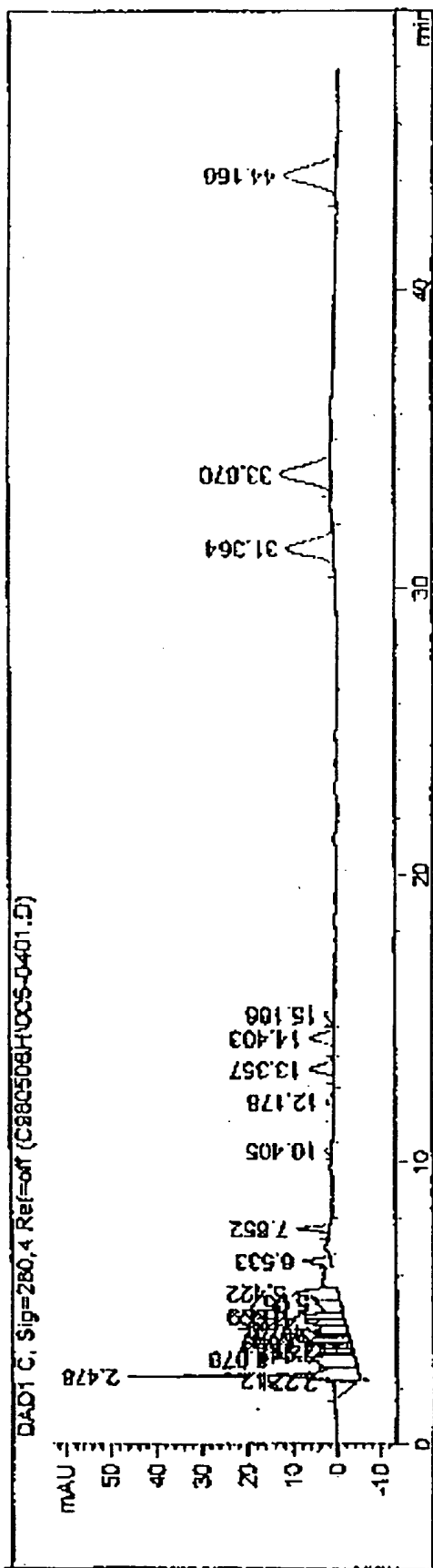


FIGURE 17C  
3/3

## INTERNATIONAL SEARCH REPORT

International Application No

PCT/US 98/16466

C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT		
Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	MISAWA N ET AL: "EXPRESSION OF AN ERWINA PHYTOENE DESATURASE GENE NOT ONLY CONFERS MULTIPLE RESISTANCE TO HERBICIDES INTERFERING WITH CAROTENOID BIOSYNTHESIS BUT ALSO ALTERS XANTHOPHYLL METABOLISM IN TRANSGENIC PLANTS" PLANT JOURNAL, vol. 6, no. 4, 1994, pages 481-489, XP002017203 abstract; page 482, 485; Fig.6; page 486, right column; page 487, left column	1,3,4, 7-11,35
X	WO 97 17447 A (CALGENE INC) 15 May 1997 page 4, examples	1,4,5,7, 8,16,35
X	BRAMLEY, P., ET AL. : "biochemical characterization of transgenic tomato plants in which carotenoid synthesis has been inhibited through the expression of antisense RNA to pTOM5" THE PLANT JOURNAL, vol. 2, no. 3, 1992, pages 343-349, XP002087150 abstract; page 347, left column; Table 3 + 4	1-5,7-9, 16,35
Y	HARKER, M., ET AL. : "biosynthesis of ketocarotenoids in transgenic cyanobacteria expressing the algal gene for beta-C-4-oxygenase, crtO" FEBS LETTERS, vol. 404, March 1997, pages 129-134, XP002087149 abstract; page 129, right column; Table 1; Fig.5; page 133, right column; page 134	1-3, 7-10,16, 35
Y	WO 95 23863 A (CENTRE NAT RECH SCIENT ;CAMARA BILAL (FR); KUNTZ MARCEL (FR)) 8 September 1995 abstract, page 5, line 19-21; page 6,10,13	18
A	BURKHARDT, P.K., ET AL. : "transgenic rice (Oryza sativa) endosperm expressing daffodil (Narcissus pseudonarcissus) phytoene synthase accumulates phytoene, a key intermediate of provitamin A biosynthesis" THE PLANT JOURNAL, vol. 11, no. 5, May 1997, pages 1071-1078, XP002087151 abstract; page 1072, right column; page 1075; Fig. 4	1-35

-/--

# INTERNATIONAL SEARCH REPORT

Intern. Patent Application No

PCT/US 98/16466

## C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	WO 96 36717 A (CENTRE NAT RECH SCIENT ;KUNTZ MARCEL (GB)) 21 November 1996 see the whole document ---	1-35
P,X	WO 98 06862 A (SHEWMAKER CHRISTINE K ;CALGENE INC (US)) 19 February 1998 see the whole document -----	1-12, 14-20, 35

# INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No

PCT/US 98/16466

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
WO 9613149 A	09-05-1996	US 5618988 A	08-04-1997
		AU 697358 B	01-10-1998
		AU 3970195 A	23-05-1996
		CA 2203815 A	09-05-1996
		CN 1172416 A	04-02-1998
		EP 0792352 A	03-09-1997
		JP 10509309 T	14-09-1998
		NO 971945 A	27-06-1997
		PL 319788 A	01-09-1997
WO 9717447 A	15-05-1997	CA 2236267 A	15-05-1997
		EP 0862632 A	09-09-1998
WO 9523863 A	08-09-1995	EP 0746615 A	11-12-1996
		JP 9510608 T	28-10-1997
WO 9636717 A	21-11-1996	AU 5897796 A	29-11-1996
WO 9806862 A	19-02-1998	AU 4058497 A	06-03-1998